The background of the slide is a traditional East Asian landscape painting. It depicts a serene scene with a river or lake in the foreground, a large tree on the left, and a small boat with two figures in the distance. The sky is a soft, hazy blue and white, suggesting a misty or early morning atmosphere. The overall style is characteristic of traditional Chinese or Korean ink and wash painting, though the colors are more muted and naturalistic.

# **Lattice Calculation of Kaon Mixing Matrix Elements from BSM Operators**

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# Outline

- ① Motivation & Background
- ② Lattice QCD
- ③ Data Analysis
- ④ Results
- ⑤ Summary

# **Motivation & Background**

# Neutral Kaon System

- Flavor eigenstates

$$K^0 = (\bar{s}d), \quad \bar{K}^0 = (s\bar{d})$$

- CP eigenstates

$$K_{\pm} = (K^0 \pm \bar{K}^0)/\sqrt{2}, \quad CP|K_{\pm}\rangle = \pm|K_{\pm}\rangle$$

- Hamiltonian eigenstates

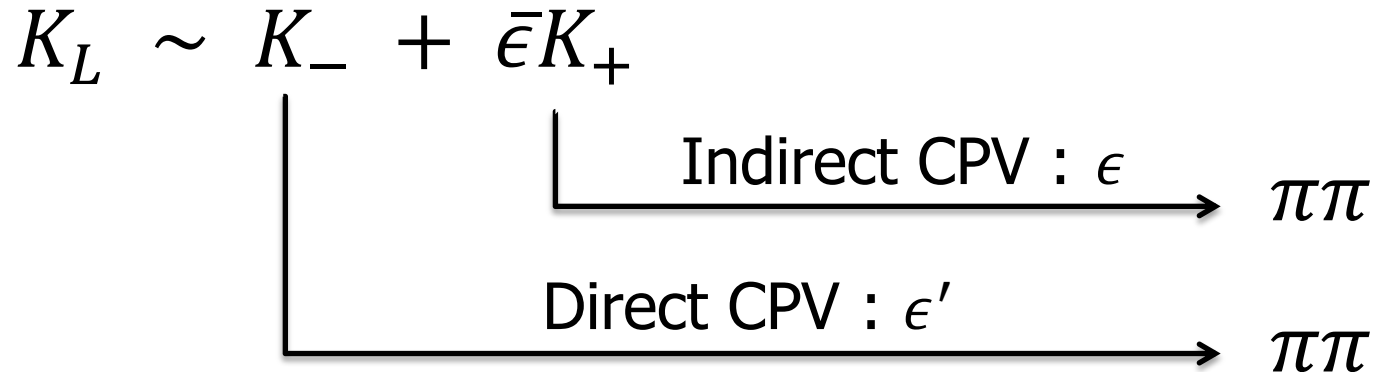
$$K_S = \frac{K_+ + \bar{\epsilon}K_-}{\sqrt{1 + |\bar{\epsilon}|^2}}, \quad K_L = \frac{K_- + \bar{\epsilon}K_+}{\sqrt{1 + |\bar{\epsilon}|^2}}, \quad |\bar{\epsilon}| \simeq O(10^{-3})$$

- Preferable decays to pion states

$$K_S \rightarrow 2\pi \text{ (via } K_+, CP \text{ even)}$$

$$K_L \rightarrow 3\pi \text{ (via } K_-, CP \text{ odd )}$$

# Direct / Indirect CP Violation



- **CP violating  $K_L \rightarrow \pi\pi$**  can occur in two ways

❖  $K_-$  (CP odd)  $\rightarrow \pi\pi$  (CP even) : **Direct CPV**

$$\epsilon' = \frac{1}{\sqrt{2}} \left( \frac{A[K_L \rightarrow (\pi\pi)_2]}{A[K_S \rightarrow (\pi\pi)_2]} - \epsilon \frac{A[K_S \rightarrow (\pi\pi)_2]}{A[K_S \rightarrow (\pi\pi)_0]} \right)$$

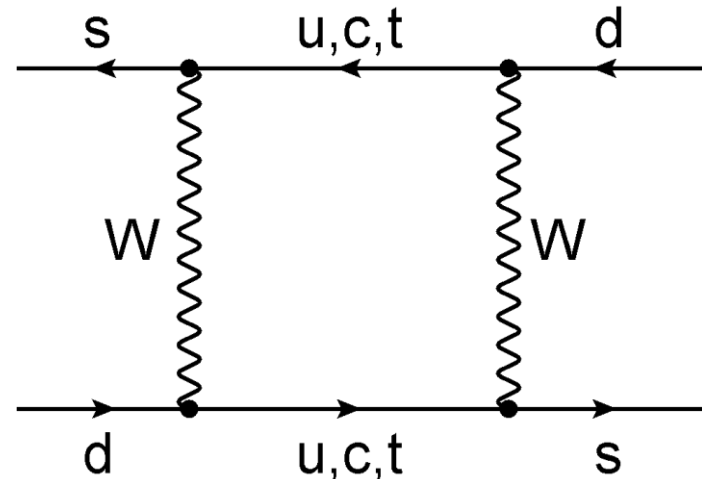
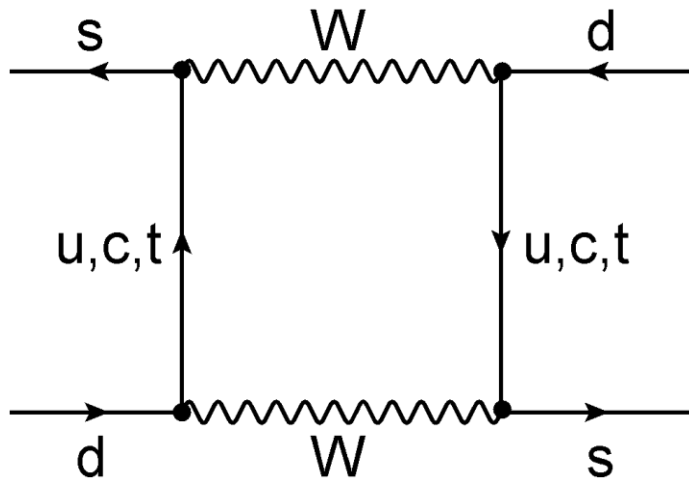
❖  $\bar{\epsilon} K_+$  (CP even)  $\rightarrow \pi\pi$  (CP even) : **Indirect CPV**

$$\epsilon = \frac{A[K_L \rightarrow (\pi\pi)_0]}{A[K_S \rightarrow (\pi\pi)_0]}$$

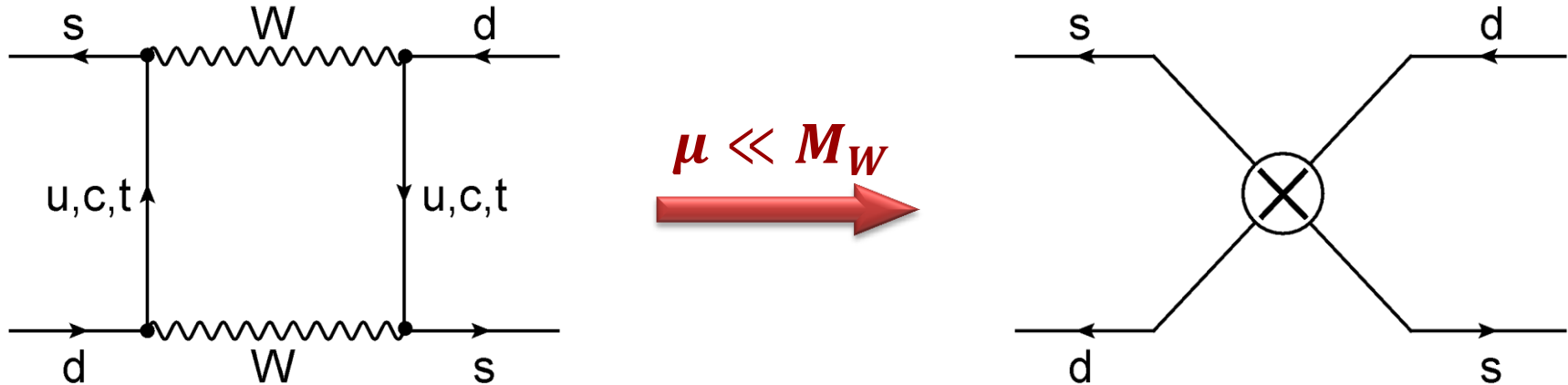
$K_L$  can have small CP even component via  $K^0 - \bar{K}^0$  mixing

# $K^0 - \bar{K}^0$ Mixing in the SM

- Arises from the  $\Delta S = 2$ ,  $s\bar{d} \rightarrow \bar{s}d$  FCNC
- Responsible for indirect CPV &  $\Delta M_K \equiv M_{K_L} - M_{K_S}$
- Dominated by the following box diagrams



# $K^0 - \bar{K}^0$ Mixing in the SM



- Integrating out  $W$ , the box diagram can be replaced by the **local, four-quark operator**

$$H_{\text{eff}}^{\Delta S=2} = \frac{G_F^2 M_W^2}{16\pi^2} F^0 Q_1 + h.c.,$$

$$Q_1 = [\bar{s}\gamma_\mu(1 - \gamma_5)d][\bar{s}\gamma_\mu(1 - \gamma_5)d]$$

# Kaon Bag Parameter - $B_K$

- In the SM, **indirect CPV** can be predicted as follows

$$\epsilon_K \sim \text{known factors} \times V_{CKM} \times \hat{B}_K$$

- $\hat{B}_K$  is the RG invariant form of  $B_K$

$$B_K = \frac{\langle \bar{K}^0 | [\bar{s} \gamma_\mu (1 - \gamma_5) d] [\bar{s} \gamma_\mu (1 - \gamma_5) d] | K^0 \rangle}{\frac{8}{3} \langle \bar{K}^0 | \bar{s} \gamma_\mu \gamma_5 d | 0 \rangle \langle 0 | \bar{s} \gamma_\mu \gamma_5 d | K^0 \rangle}$$

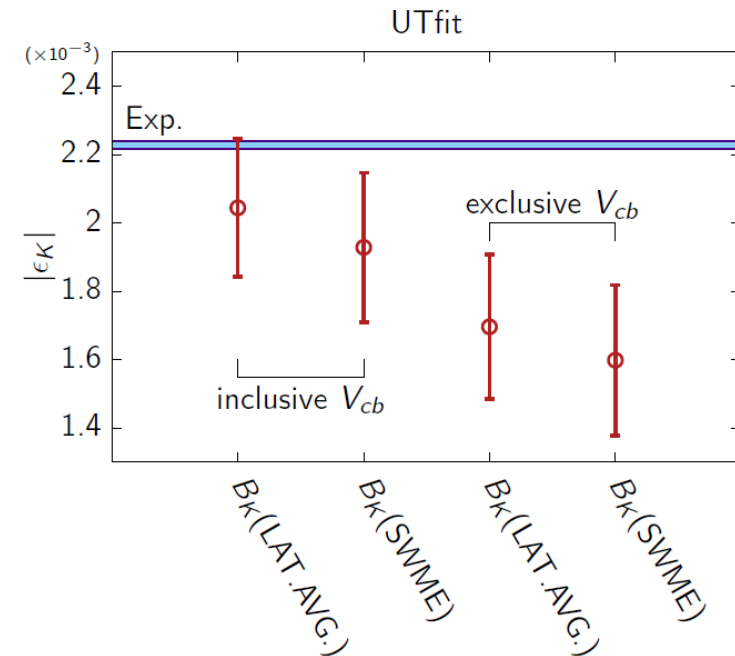
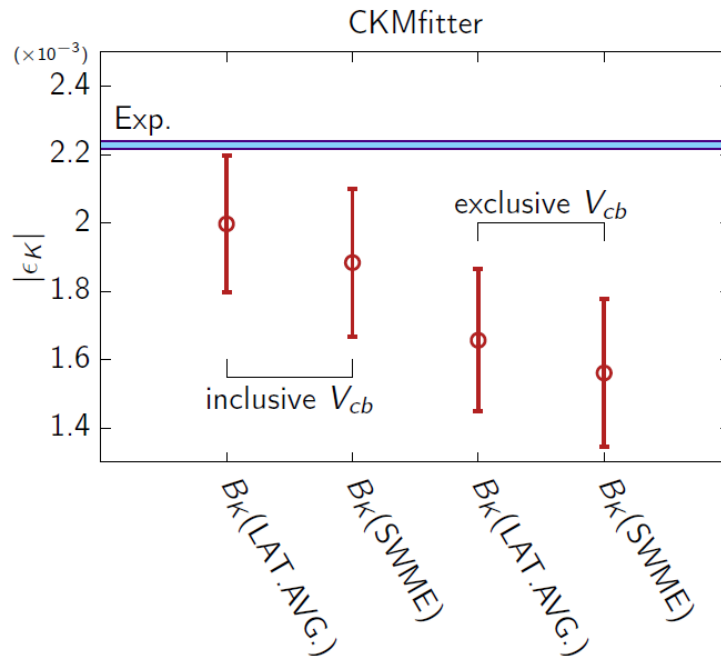
$$\hat{B}_K = C(\mu) B_K(\mu)$$

- $\hat{B}_K$  contains all the **non-perturbative QCD contributions for  $\epsilon_K$** ,  
can be **calculated from lattice simulations**



# Experiment vs SM Prediction on $\epsilon_K$

( Y. Jang & W. Lee, 2012 )



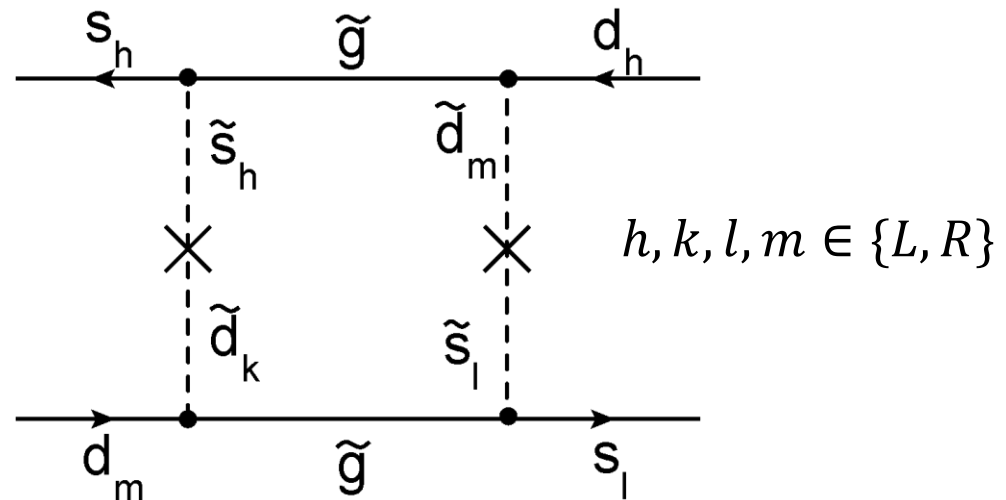
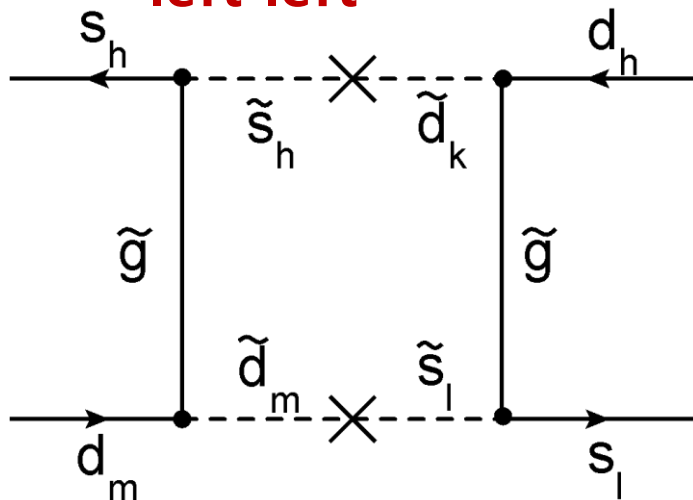
- There are two methods(**exclusive**, **inclusive**) to **determine  $V_{cb}$** , whose results **do not agree each other**
- **SM prediction of  $\epsilon_K$**  deviates from the experimental value about  $3\sigma$  for **exclusive  $V_{cb}$**

# BSM Contribution to $K^0 - \bar{K}^0$ Mixing

- In the **Standard Model**, only the “**left-left**” form contribute to the  $K^0 - \bar{K}^0$  mixing box diagram

$$\langle \bar{K}^0 | [\bar{s} \gamma_\mu (1 - \gamma_5) d] [\bar{s} \gamma_\mu (1 - \gamma_5) d] | K^0 \rangle$$

- Considering **BSM physics**, integrating out heavy particles (e.g. squarks & gluinos in supersymmetric models) leads to **new operators w/ Dirac structures other than “left-left”**



# BSM Operators

- Considering BSM, generic effective Hamiltonian is

$$H_{\text{eff}}^{\Delta S=2} = \sum_{i=1}^5 c_i Q_i$$
$$Q_2 = [\bar{s}^a(1 - \gamma_5)d^a][\bar{s}^b(1 - \gamma_5)d^b]$$
$$Q_3 = [\bar{s}^a\sigma_{\mu\nu}(1 - \gamma_5)d^a][\bar{s}^b\sigma_{\mu\nu}(1 - \gamma_5)d^b]$$
$$Q_4 = [\bar{s}^a(1 - \gamma_5)d^a][\bar{s}^b(1 + \gamma_5)d^b]$$
$$Q_5 = [\bar{s}^a\gamma_\mu(1 - \gamma_5)d^a][\bar{s}^b\gamma_\mu(1 + \gamma_5)d^b]$$

- New  $\Delta S = 2$  four-fermion operators give **additional contributions to Kaon mixing** elements
- Since they are **constrained by experimental results**, calculating corresponding hadronic matrix elements  $\langle \bar{K}^0 | Q_i | K^0 \rangle$  **can impose strong constraints on BSM physics**

# BSM B-parameters

- B-parameters**

$$B_i = \frac{\langle \bar{K}^0 | Q_i | K^0 \rangle}{N_i \langle \bar{K}^0 | \bar{s} \gamma_5 d | 0 \rangle \langle 0 | \bar{s} \gamma_5 d | K^0 \rangle}$$

$$Q_2 = [\bar{s}^a (1 - \gamma_5) d^a] [\bar{s}^b (1 - \gamma_5) d^b]$$

$$Q_3 = [\bar{s}^a \sigma_{\mu\nu} (1 - \gamma_5) d^a] [\bar{s}^b \sigma_{\mu\nu} (1 - \gamma_5) d^b]$$

$$Q_4 = [\bar{s}^a (1 - \gamma_5) d^a] [\bar{s}^b (1 + \gamma_5) d^b]$$

$$Q_5 = [\bar{s}^a \gamma_\mu (1 - \gamma_5) d^a] [\bar{s}^b \gamma_\mu (1 + \gamma_5) d^b]$$

$$(N_2, N_3, N_4, N_5) = (5/3, 4, -2, 4/3)$$

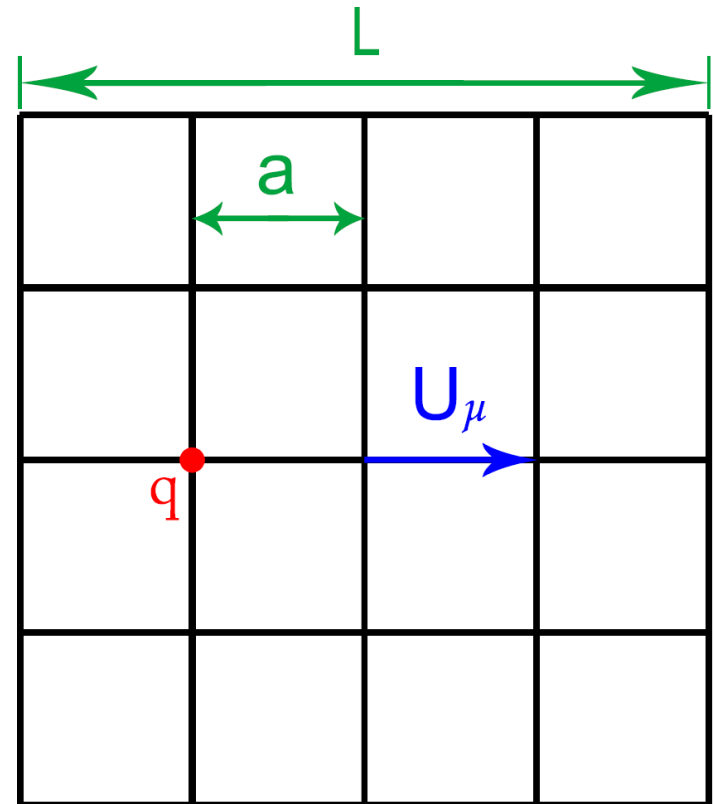
- In lattice calculation, forming dimensionless ratio **reduces statistical and systematic error**
- **Chiral perturbation** expression is **simpler**
- Denominator dose not vanish in chiral limit (unlike  $B_K$ )

$$\langle \bar{K}^0 | \bar{s} \gamma_5 d | 0 \rangle \langle 0 | \bar{s} \gamma_5 d | K^0 \rangle = - \left( \frac{f_K M_K^2}{m_d + m_s} \right)^2$$

# Lattice QCD

# Lattice QCD

- **Non-perturbative** approach to solving QCD
- Formulation of QCD on **discretized Euclidean space-time**
  - Hypercubic lattice
  - **Lattice spacing "a"**
  - **Quark fields** placed on sites
  - **Gauge fields** on the links between sites :  $U_\mu$



# Lattice QCD

- Use **numerical method** (Montecarlo simulation) to calculate integral

$$\langle \mathcal{O} \rangle = \int \mathcal{D}U_\mu \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \mathcal{O} e^{-S}$$

- “**Lattice action**” is needed to simulate in discretized space-time

$$S[U, \bar{\Psi}, \Psi] = S_G[U] + S_F[U, \bar{\Psi}, \Psi]$$

- We use “**Staggered fermion**” for the lattice fermion
  - The **fastest** lattice fermion action
  - Suffered from “**taste symmetry breaking**” but manageable

# Lattice QCD

- **Expectation value**

$$\begin{aligned}\langle \mathcal{O}(U, q, \bar{q}) \rangle &= \int \mathcal{D}U \mathcal{D}q \mathcal{D}\bar{q} \mathcal{O}(U, q, \bar{q}) \\ &\quad \times e^{-S_G - \sum_f \bar{q}_f (D[U] + m_f) q_f} \\ &= \int \mathcal{D}U \mathcal{O}\left(U, (D[U] + m_f)^{-1}\right) \\ &\quad \times e^{-S_G[U]} \prod_f \det(D[U] + m_f)\end{aligned}$$

- Integrating over the  $q$  and  $\bar{q}$  gives determinant of Dirac operator and quark propagators,  $(D[U] + m_f)^{-1}$
- Generate **random samples (gauge links)** according to the **probability distribution** allows us to integrate using **Montecarlo method**



# Lattice QCD

- **Expectation value**

$$\langle \mathcal{O}(U, q, \bar{q}) \rangle = \int \mathcal{D}U \mathcal{D}q \mathcal{D}\bar{q} \mathcal{O}(U, q, \bar{q})$$

$$\times e^{-S_G - \sum_f \bar{q}_f (D[U] + m_f) q_f}$$

$$\langle f(X) \rangle = \int dx f(x) p_X(x)$$

$$= \int \mathcal{D}U \mathcal{O}\left(U, (D[U] + m_f)^{-1}\right)$$

$$\times e^{-S_G[U]} \prod_f \det(D[U] + m_f)$$

- Integrating over the  $q$  and  $\bar{q}$  gives determinant of Dirac operator and quark propagators,  $(D[U] + m_f)^{-1}$
- Generate **random samples (gauge links)** according to the **probability distribution** allows us to integrate using **Montecarlo method**

# Data Analysis

# Physical Results from Unphysical Simulations

- **Chiral extrapolation**

- In the lattice simulation, the smaller quark mass requires the exponentially larger computational cost
  - Use light quark masses larger than physical d-quark mass and **extrapolate to the physical down quark mass** using (staggered) chiral perturbation theory
- Tuning the strange quark mass to precise physical quark mass is not practical
  - **Extrapolate to physical strange quark mass**

- **Continuum extrapolation**

- We use finite lattice spacing ( $a \geq 0.45\text{fm}$ )
  - **Extrapolate to  $a = 0$  to obtain continuum results**

# Data Analysis Strategy

## 1. Calculate raw data

Calculate BSM B-parameters for different quark mass combinations,  $(m_u = m_d, m_s)$

## 2. Chiral fitting

**X-fit** : Fix strange quark mass and extrapolate to the light quark mass  $m_l$  to give physical down quark mass

**Y-fit** : Extrapolate  $m_s$  to give physical strange quark mass

## 3. RG evolution

Obtain results at 2GeV and 3GeV from  $1/a$

## 4. Continuum extrapolation

Perform [1-3] for different lattices and extrapolate to  $a = 0$

# Analysis Data

$a$ (fm)	$1/a$ (GeV)	$am_l/am_s$	geometry	ens $\times$ meas
0.12	1.662	0.01/0.05	$20^3 \times 64$	$671 \times 9$
0.09	2.348	0.0062/0.031	$28^3 \times 96$	$995 \times 9$
0.06	3.362	0.0036/0.018	$48^3 \times 144$	$749 \times 9$
0.045	4.517	0.0028/0.014	$64^3 \times 192$	$747 \times 1$

- MILC 2+1 AsqTad lattice
  - Use u, d, s dynamical quarks
  - $m_u = m_d \neq m_s$
- Four different lattices

# Operator Matching

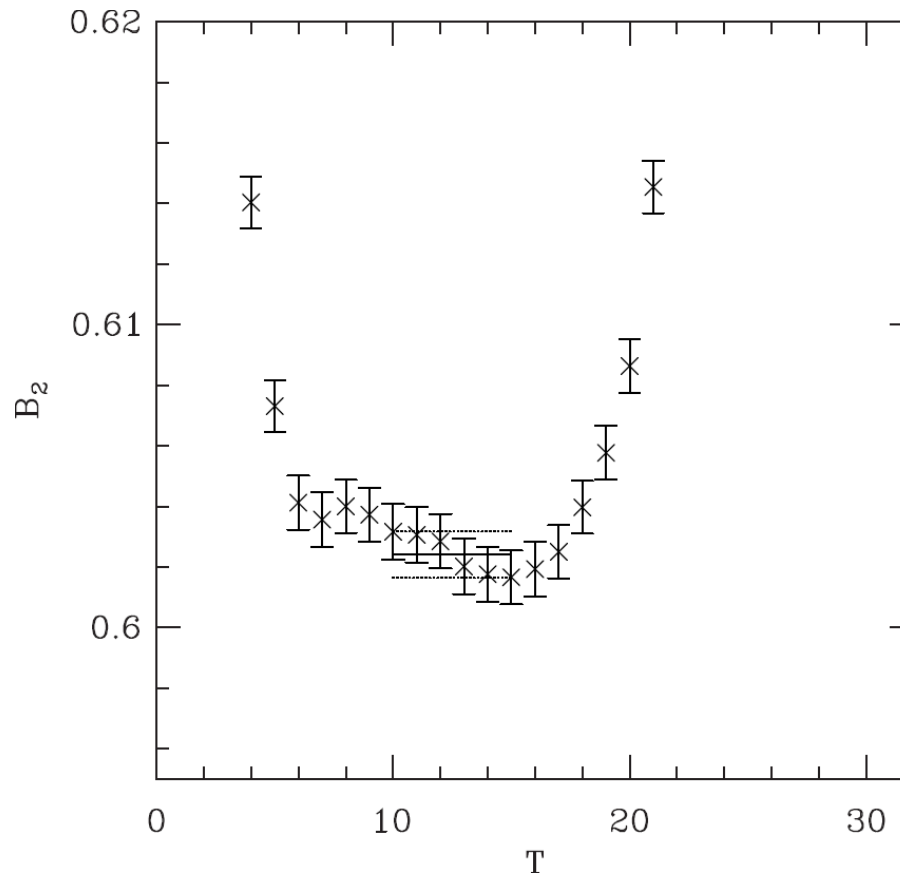
$$\mathcal{O}_i^{\text{Cont}'} = \sum_{j \in (A)} z_{ij} \mathcal{O}_j^{\text{Lat}} - \frac{g^2}{(4\pi)^2} \sum_{k \in (B)} d_{ik}^{\text{Lat}} \mathcal{O}_k^{\text{Lat}}$$

$$z_{ij} = b_{ij} + \frac{g^2}{(4\pi)^2} \left( -\gamma_{ij} \log(\mu a) + d_{ij}^{\text{Cont}} - d_{ij}^{\text{Lat}} - C_F I_{MF} T_{ij} \right)$$

- To find continuum (NDR with  $\overline{\text{MS}}$ ) results from those regularized on the lattice, "**operator matching**" is needed
- $z_{ij}$  are the **one-loop matching factors**  
(J. Kim, W. Lee and S. Sharpe, PhysRevD.83.094503)
- We use matching scale  $\mu = 1/a$

# Calculation of $B_j$

$$B_2 = \frac{\langle \bar{K}^0 | [\bar{s}^a (1 - \gamma_5) d^a] [\bar{s}^b (1 - \gamma_5) d^b] | K^0 \rangle}{(5/3) \langle \bar{K}^0 | \bar{s} \gamma_5 d | 0 \rangle \langle 0 | \bar{s} \gamma_5 d | K^0 \rangle}$$



# Chiral Fitting

- **Fitting functions for X-fit** (J. Bailey, et al., Phys. Rev. D85, (2012), 074507)

$$B_j(X_P) = c_1 F_0(j) + c_2 \frac{X_P}{\Lambda^2} + c_3 \frac{X_P^2}{\Lambda^4} \quad (\text{S}\chi\text{PT, NNLO})$$

where  $X_P$  is the squared mass of pion,  $\Lambda = 1\text{GeV}$ ,

$$F_0(j) = 1 \pm \frac{1}{32\pi^2 f^2} \{l(X_I) + (L_I - X_I)\tilde{l}(X_I) - 2\langle l(X_B) \rangle\}$$

(+ for  $j = 2, 3, K$ ,    - for  $j = 4, 5$ )

- **Golden combinations**

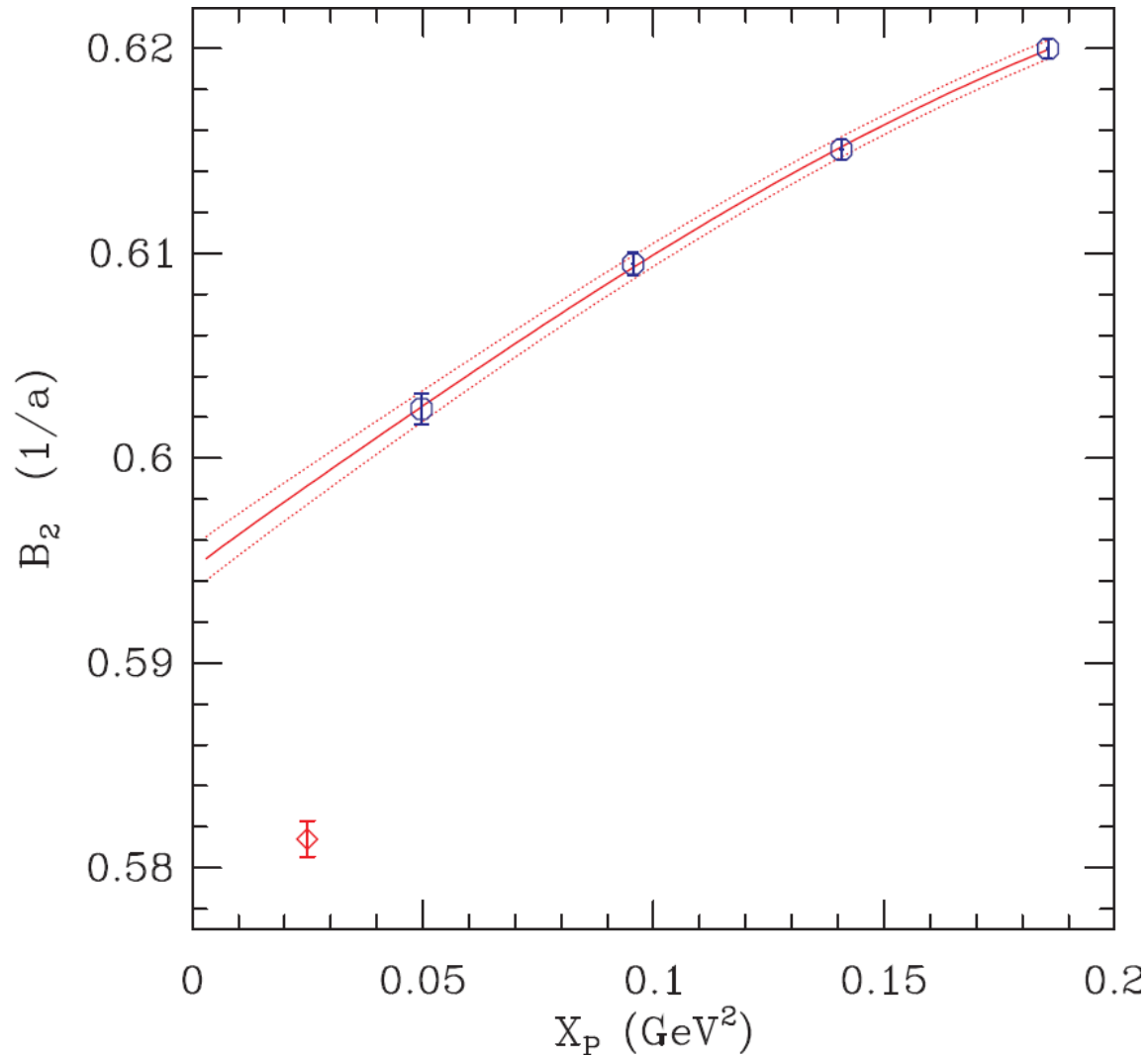
– Combinations that cancel the leading chiral logarithms

$$\left( \frac{B_2}{B_3}, \quad \frac{B_4}{B_5}, \quad B_2 \cdot B_4, \quad \frac{B_2}{B_K} \right)$$

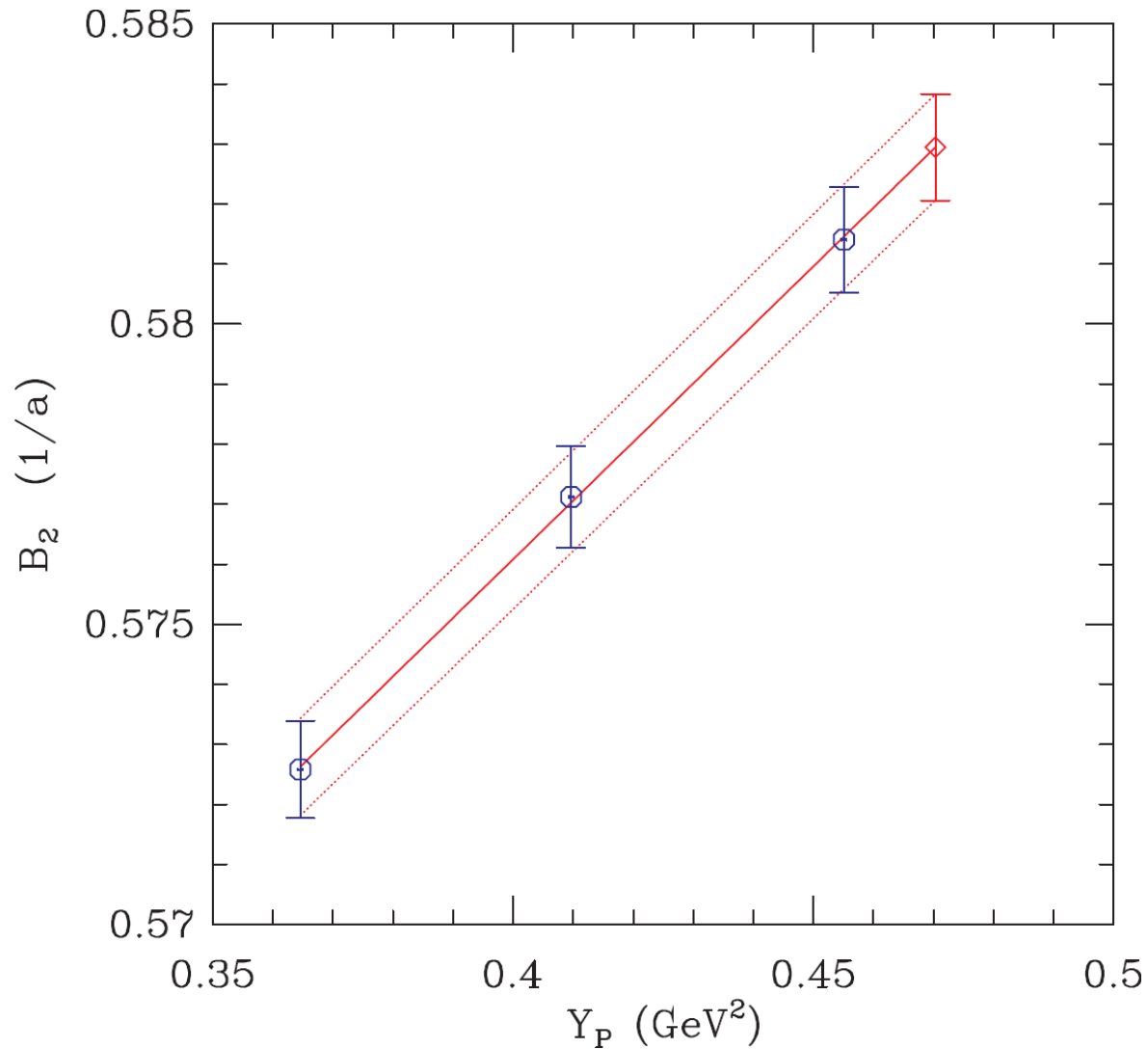
$$R(X_P) = c_1 + c_2 \frac{X_P}{\Lambda^2} + c_3 \frac{X_P^2}{\Lambda^4} \quad (\text{NNLO})$$



# Chiral Fitting : X-fit



# Chiral Fitting : Y-fit



# RG Evolution

- Now we have B-parameter values at  $\mu = 1/a$
- To perform **continuum extrapolation** with different lattices, **we need B-param. values at a common scale**
- RG running from  $\mu_a(1/a)$  to  $\mu_b(2\text{GeV}, 3\text{GeV})$

$$B_j(\mu_b) = \sum_k \frac{1}{N_j} W^R(\mu_b, \mu_a)_{jk} N_k B_k(\mu_a)$$

- Evolution kernels satisfy the RG equation

$$\frac{dW(\mu_b, \mu_a)}{d \ln \mu_b} = -\gamma(\mu_b) W(\mu_b, \mu_a), \quad W(\mu_a, \mu_b) = 1$$

$$\gamma(\mu) = \frac{\alpha(\mu)}{4\pi} \gamma^{(0)} + \left( \frac{\alpha(\mu)}{4\pi} \right)^2 \gamma^{(1)} + \dots$$

# Continuum Extrapolation

- **Formula**

- **Bayesian fit**

$$B_j(a^2) = c_1 + c_2^b (a\Lambda)^2 + c_3^b (a\Lambda)^2 \alpha_s + c_4^b \alpha_s^2 + c_5^b (a\Lambda)^4$$

$\Lambda = 300\text{GeV}$ ,  $c_i^b$  are constrained by  $c_i^b \approx 0 \pm 2$

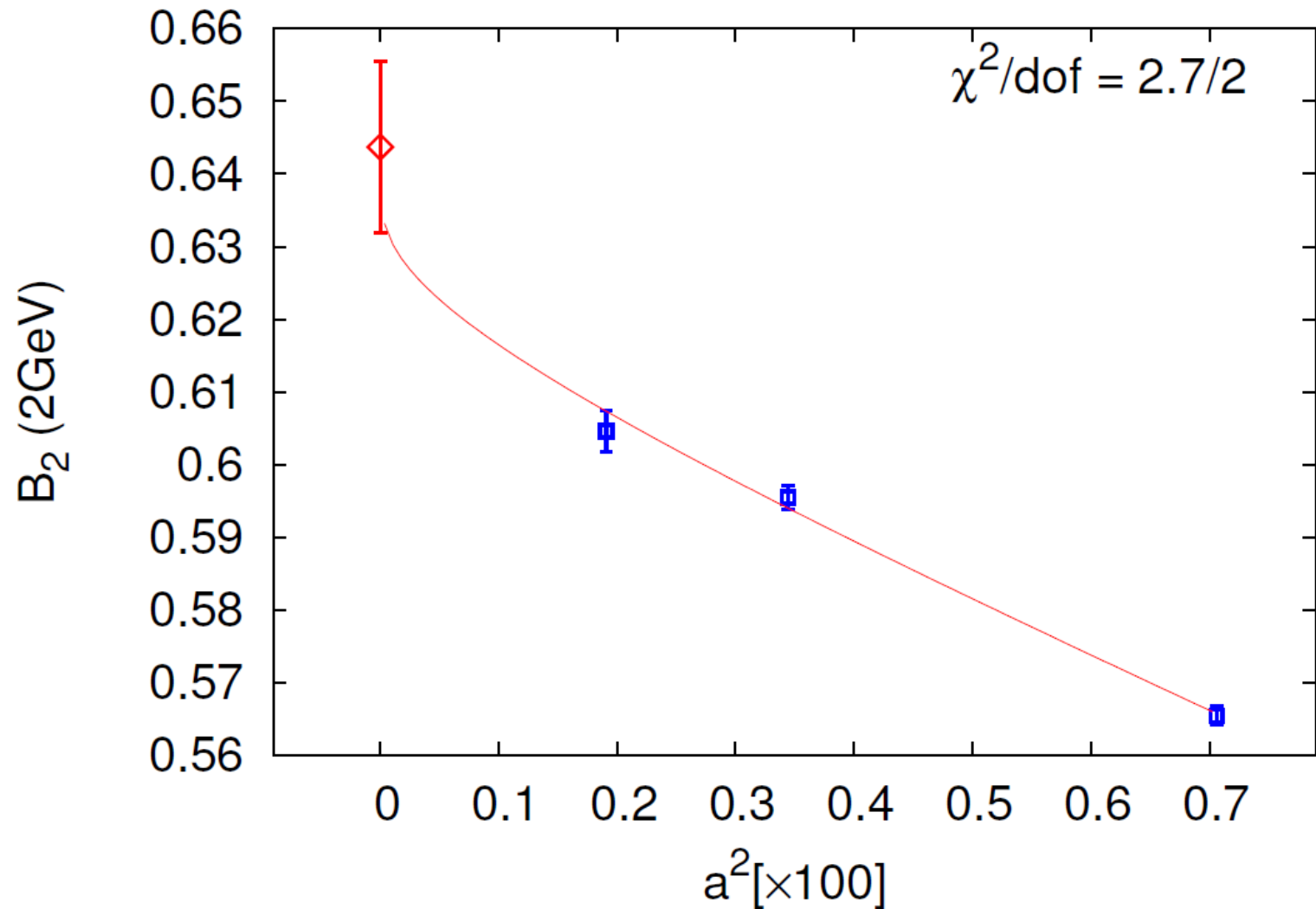
- **Linear fit**

$$B_j(a^2) = c_1 + c_2 a^2$$

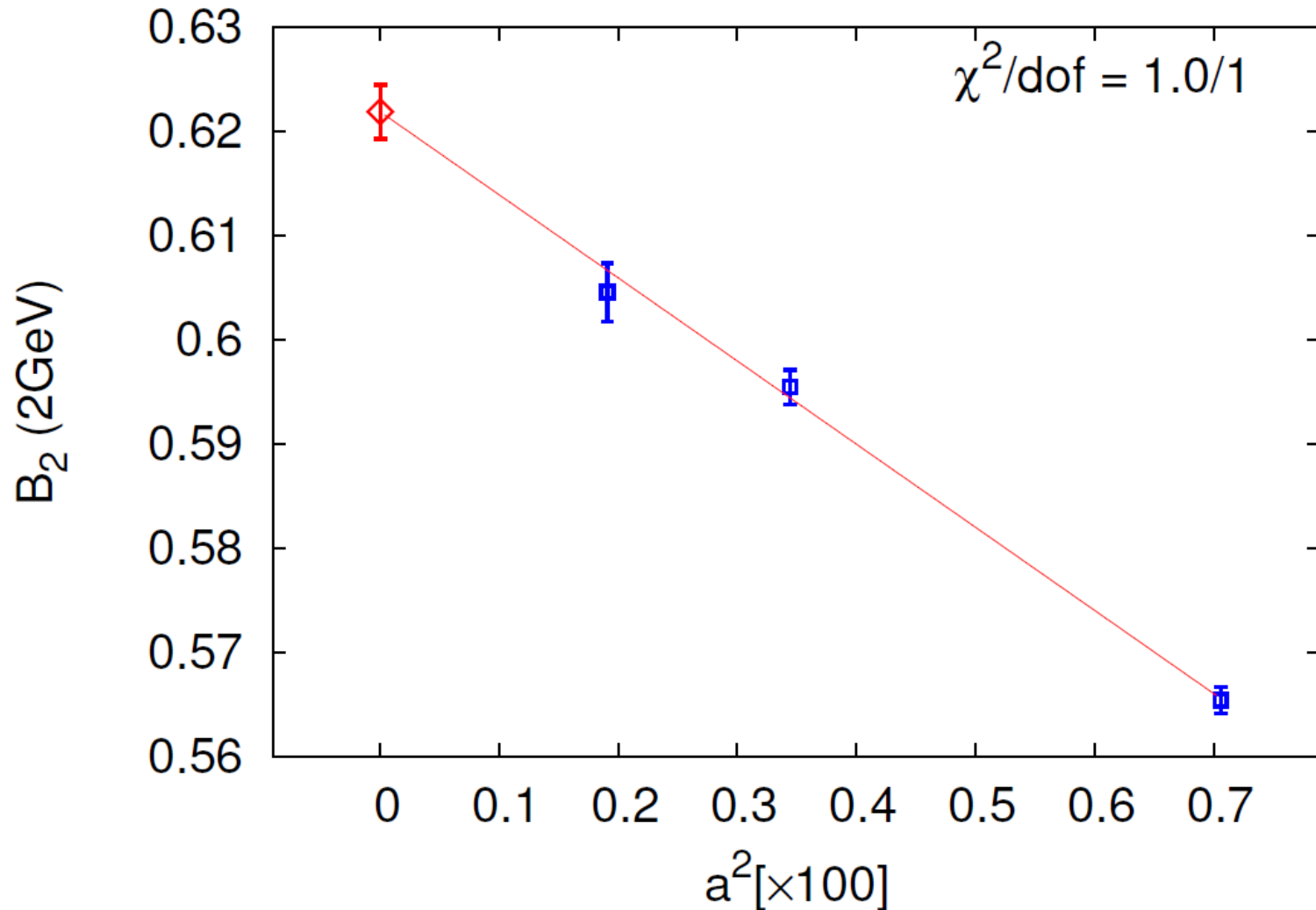
- **Results**

- Final results are obtained using linear fit without coarse lattice ( $a \approx 0.12\text{fm}$ )

# Continuum Extrapolation : Bayesian fit



# Continuum Extrapolation : Linear fit



# **Results**

# BSM B-parameters at 2GeV and 3GeV

2GeV	Coarse	Fine	Superfine	Ultrafine	Continuum
$B_K$	0.5651(46)	0.5296(39)	0.5351(35)	0.5320(77)	0.5379(65)
$B_2$	0.5415(08)	0.5654(13)	0.5955(16)	0.6046(28)	0.6219(26)
$B_3$	0.3699(06)	0.4158(09)	0.4590(13)	0.4801(21)	0.5019(20)
$B_4$	1.0944(20)	1.1228(25)	1.0927(33)	1.0949(53)	1.0736(51)
$B_5$	0.9260(17)	0.9356(22)	0.8890(27)	0.8725(44)	0.8467(43)

3GeV	Coarse	Fine	Superfine	Ultrafine	Continuum
$B_K$	0.5459(44)	0.5115(38)	0.5169(34)	0.5139(75)	0.5195(63)
$B_2$	0.4798(07)	0.5009(11)	0.5275(15)	0.5355(25)	0.5509(23)
$B_3$	0.3169(05)	0.3511(08)	0.3843(10)	0.3998(17)	0.4167(16)
$B_4$	1.0456(19)	1.0726(24)	1.0438(32)	1.0457(51)	1.0255(49)
$B_5$	0.9124(17)	0.9250(21)	0.8834(27)	0.8711(43)	0.8473(42)

**Preliminary!**



# Summary

# Summary

- BSM physics leads to new  $\Delta S = 2$  four-fermion operators that contribute to  $K^0 - \bar{K}^0$  mixing
- Calculating corresponding hadronic matrix elements,  $\langle \bar{K}^0 | Q_i | K^0 \rangle$ , can impose strong constraints on BSM physics
- We calculate BSM B-parameters on the lattice and present preliminary results
- We are working on estimating systematic errors