

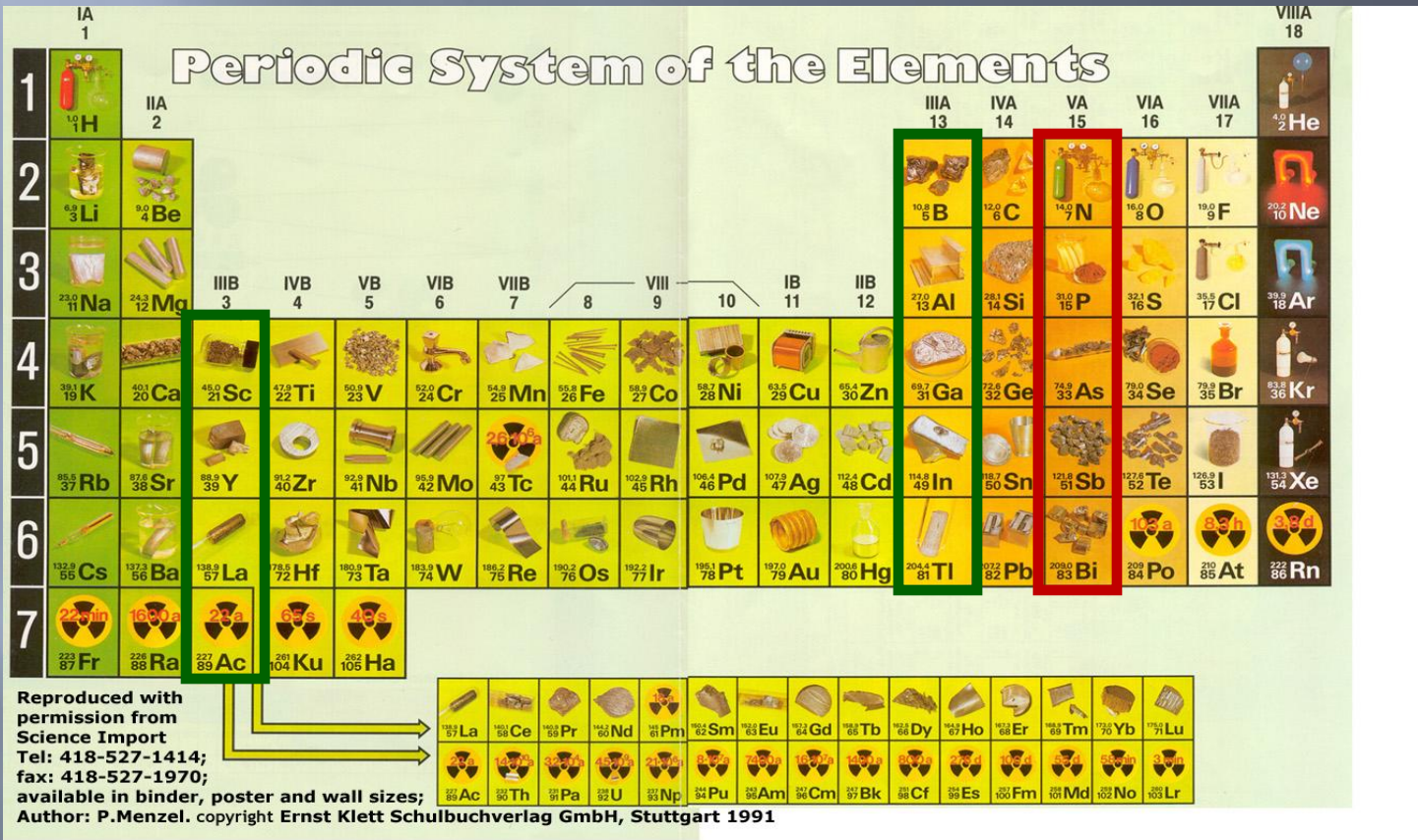
# Back to the Iron age - the physics of Fe-pnictides

Andrey Chubukov

*University of Wisconsin*

KIAS, Dec. 16, 2009

# Pnictides (Greek for choking, suffocation):



**Pnictides – elements from Group V of Periodic Table: nitrogen, phosphorus, arsenic, antimony and bismuth**

**III-V Semiconductors – formed by elements from Groups III and V:**

## Reviews:

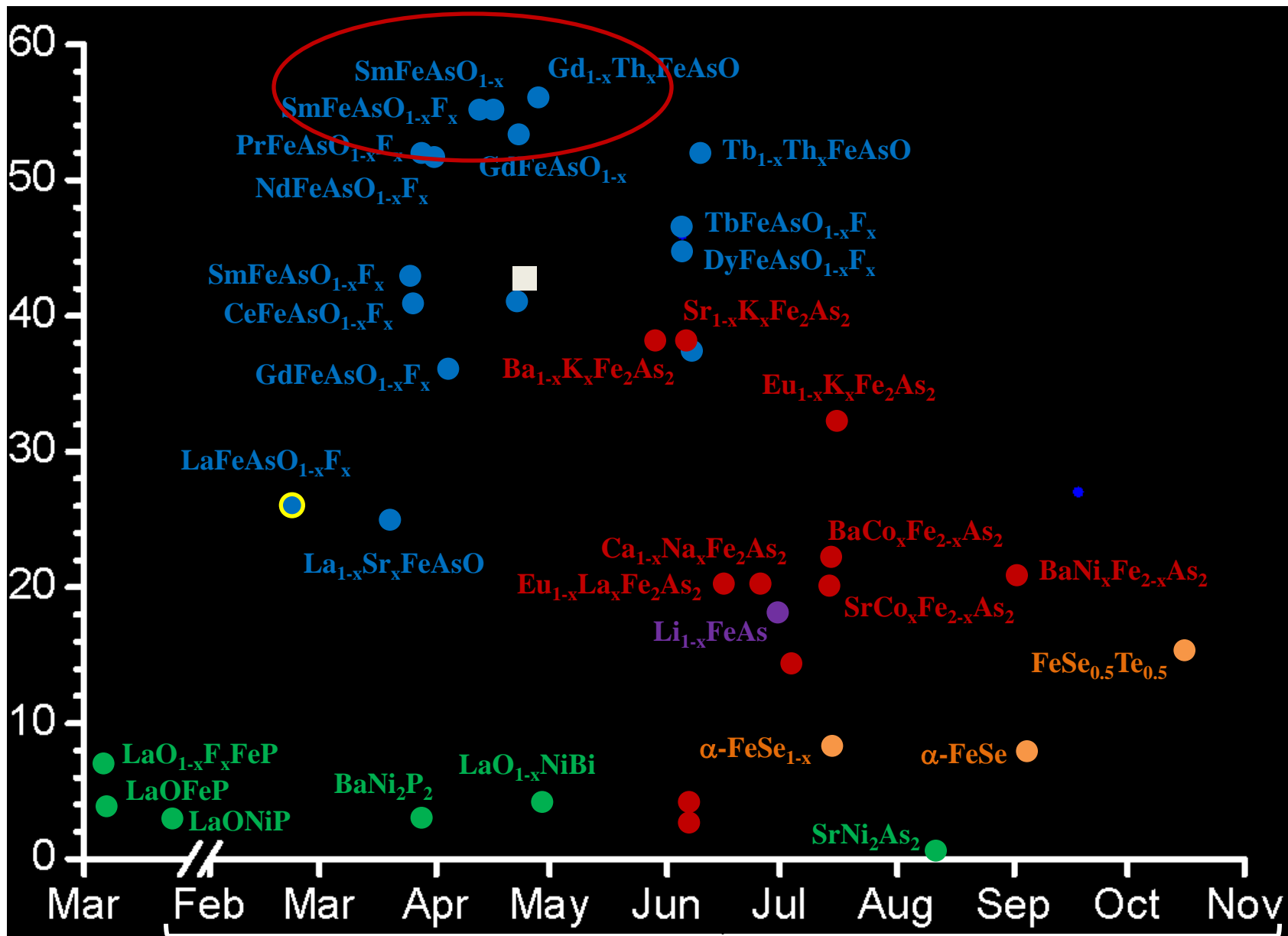
M.R. Norman, *Physics* **1**, 21 (2008); C. Xu and S. Sachdev, *Nature Physics* **4**, 898 (2008); M.V. Sadovskii, *Sov. Phys. Uspekhi*.

I.I. Mazin and J. Schmalian, *Physica C*, **469**, 614 (2009)

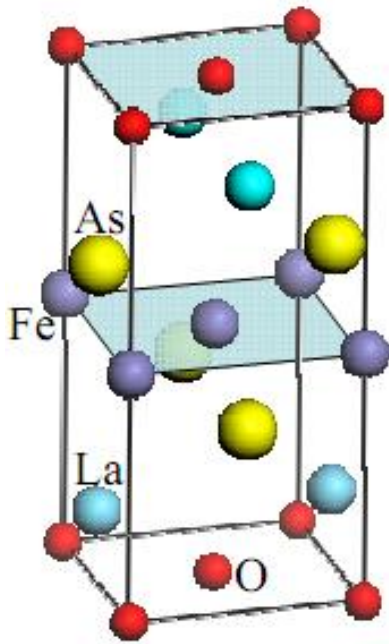
A.V. Chubukov, *Physica C*, **469**, 640 (2009).

S. Graser, T. A. Maier, P.J. Hirschfeld and D.J. Scalapino, *New J. Phys.* **11**, 025016 (2009)

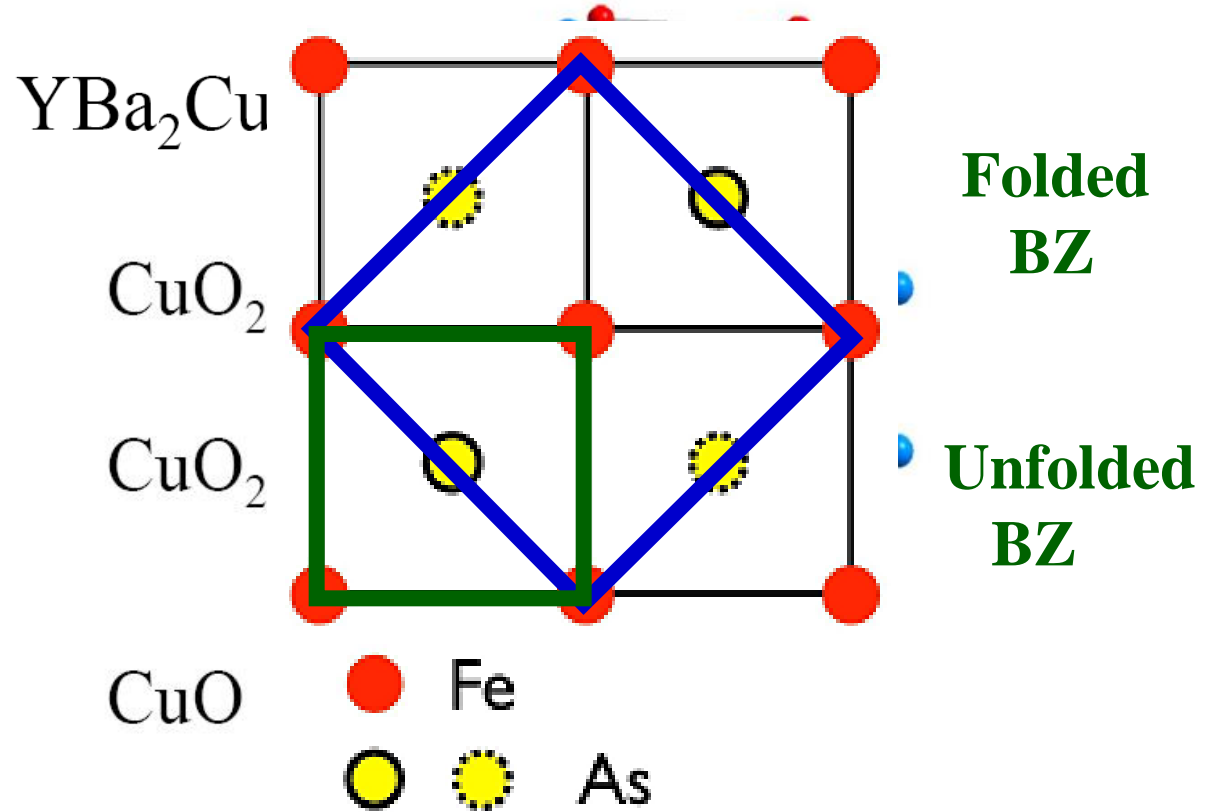
Fa Wang, Hui Zhai, Ying Ran, Ashvin Vishwanath, and Dung-Hai Lee, *Phys. Rev. Lett.* **102**, 047005 (2009).



# Crystal structure



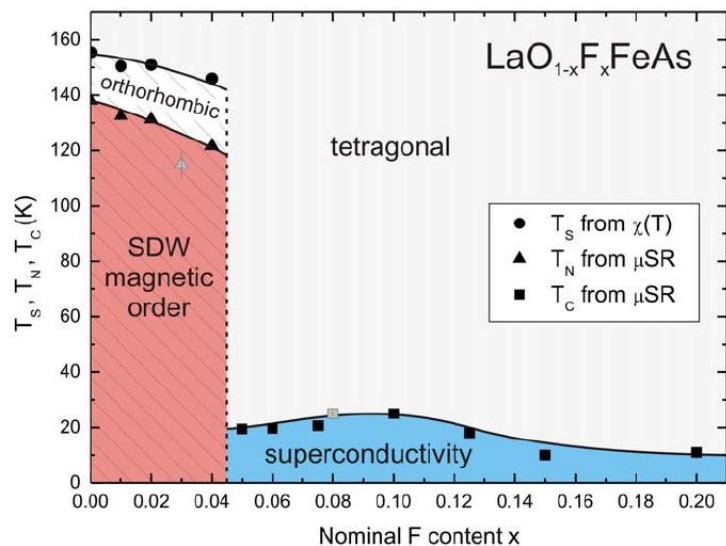
LaFeAsO



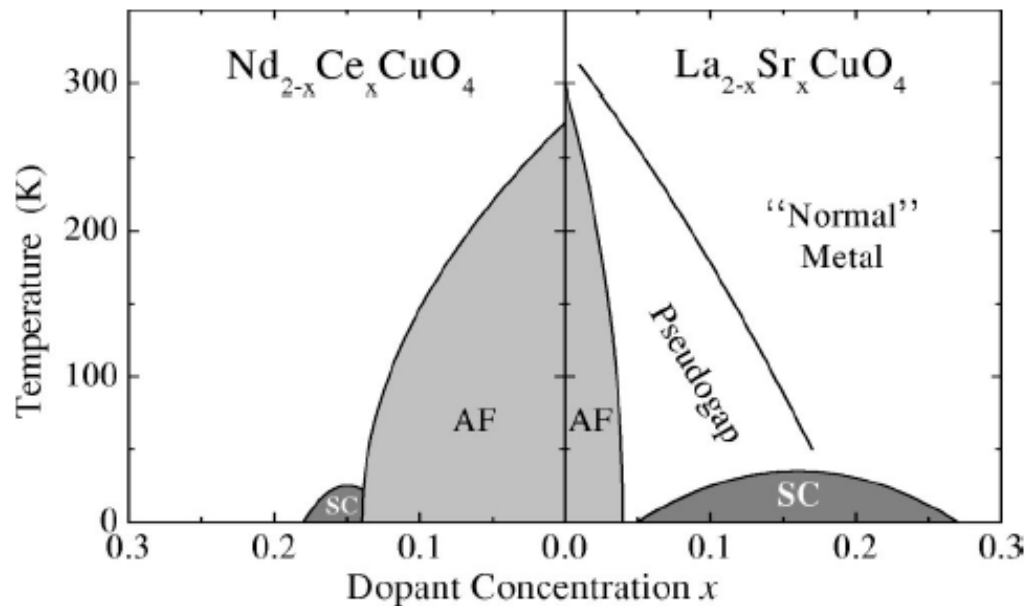
**2D Fe-As layers with As above and below a square lattice formed by Fe**

# Are pnictides similar to cuprates?

## Pnictides



## Cuprates



**Parent compounds are antiferromagnets**

**Superconductivity emerges upon doping**

# TUG-OF-WAR

## Similar



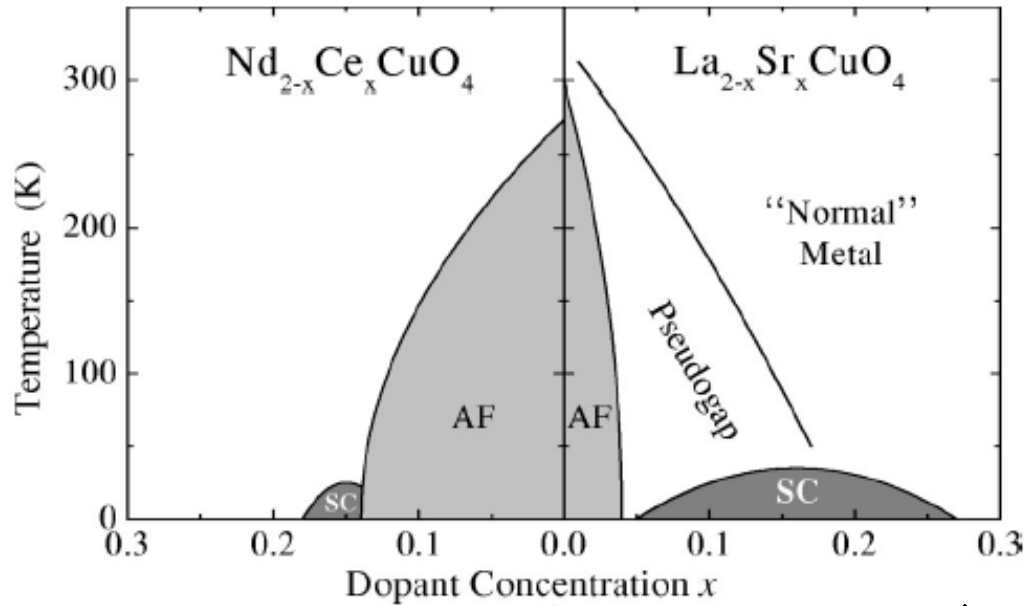
**Abrahams, Bernevig, Haule,  
Kivelson, Kotliar, Phillips,  
Sachdev, Si, Sushkov, Xu  
.....**

## Different



**Bang, Carbotte, Gorkov,  
Hirschfeld, D-H Lee, Mazin,  
Scalapino, Schmalian, Tesanovic,  
Vishwanath, ....**

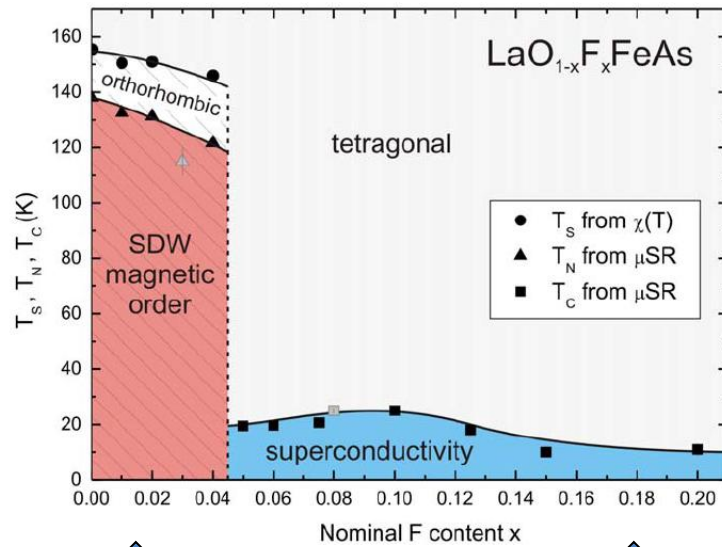
# Cuprate high $T_c$ superconductors



↑ metal      ↑ Mott insulator Heisenberg antiferromagnet      ↑ metal



# Fe-Pnictides

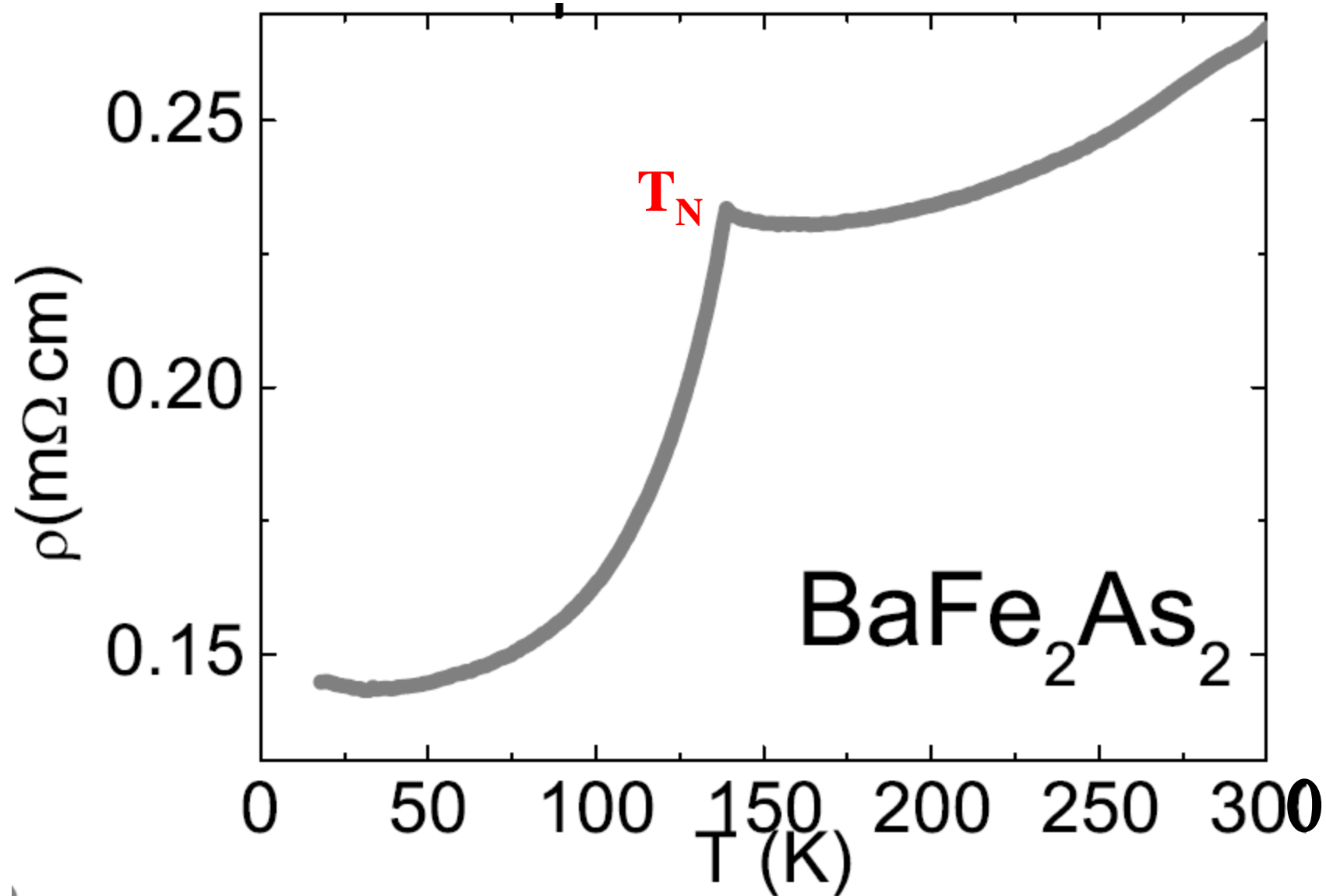


metal



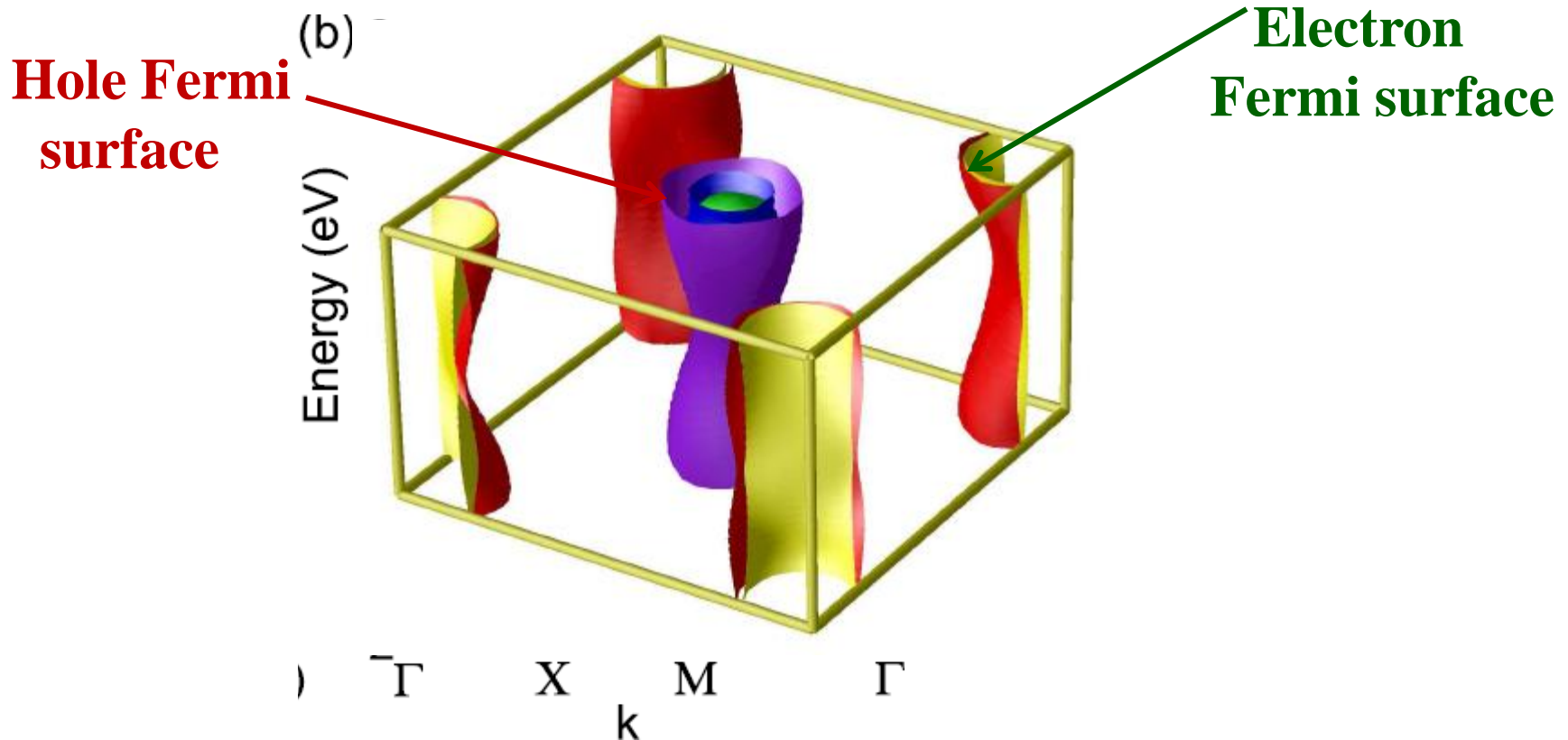
metal

# I. Metallic behavior in the magnetic phase



## II. Band theory calculations agree with experiments

Lebegue, Mazin et al, Singh & Du, Cvetkovic & Tesanovic...



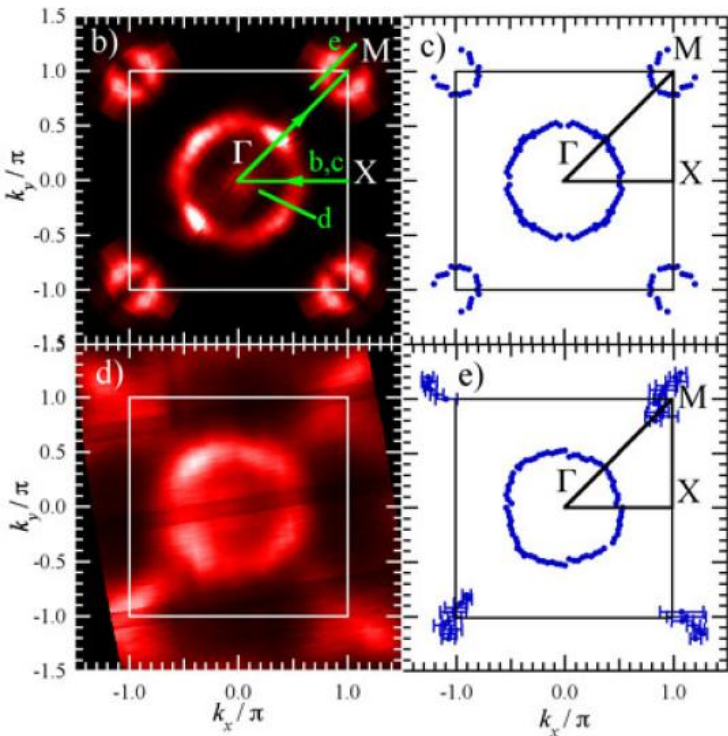
**2 hole pockets around (0,0)**

**2 electron pockets around  $(\pi,\pi)$  (folded BZ), or  $(0,\pi)$  and  $(\pi,0)$  (unfolded BZ)**

# ARPES

**NdFeAs(O<sub>1-x</sub>F<sub>x</sub>) (x=0.1)**

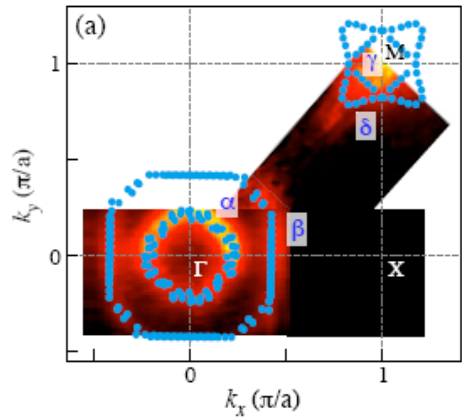
A. Kaminski et al.



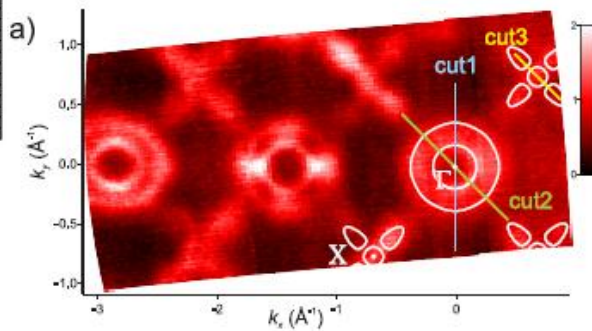
Hole pockets near (0,0)  
Electron pockets near ( $\pi,\pi$ )

**Ba06K04Fe2As2**

H. Ding et al.



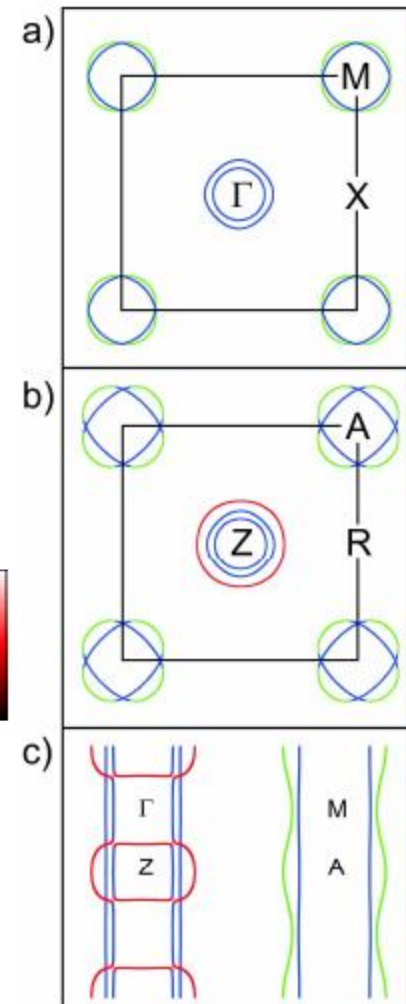
D. Evtushinsky et al



# dHVa

**LaFeOP**

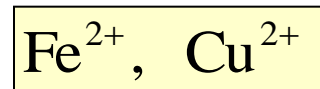
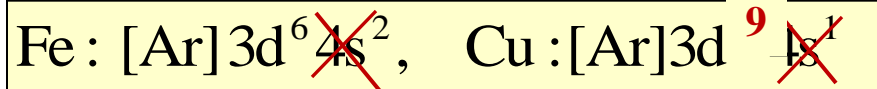
A. Coldea et al,



■  $Z^2$     ■  $X^2-Y^2$   
■  $XY$     ■  $XZ/YZ$

# A simple way to understand the difference between cuprates and pnictides

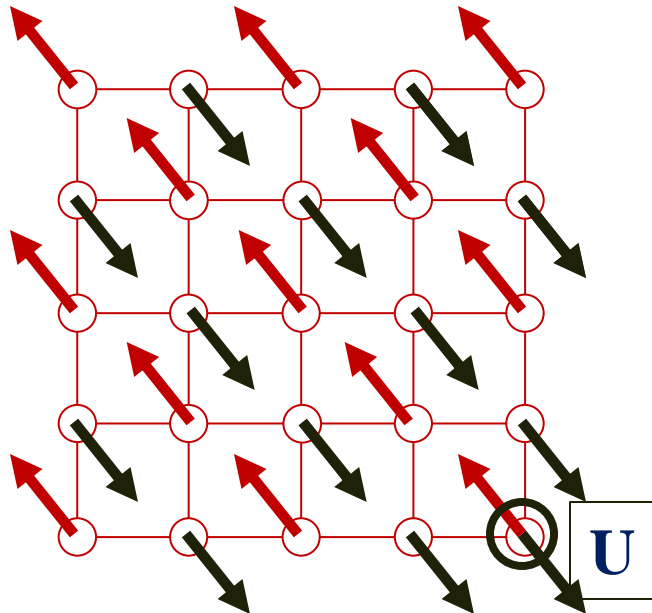
Tesanovic, Physics 2, 60 (2009)



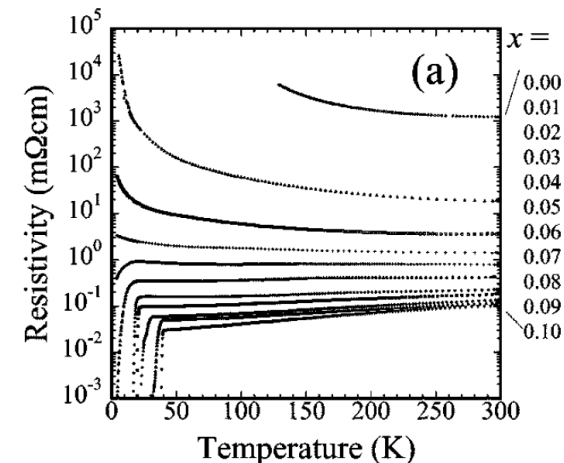
Cuprates: Cu<sup>2+</sup> ⇒ 3d<sup>9</sup>

one hole in a filled d-shell  
(1 “free” fermion per cite: half-filling)

In a half-filled band Coulomb repulsion  $U n_{i\uparrow} n_{i\downarrow}$  ( $U \gg t$ ) keeps holes in place ⇒ Mott insulator + Neel antiferromagnet !!

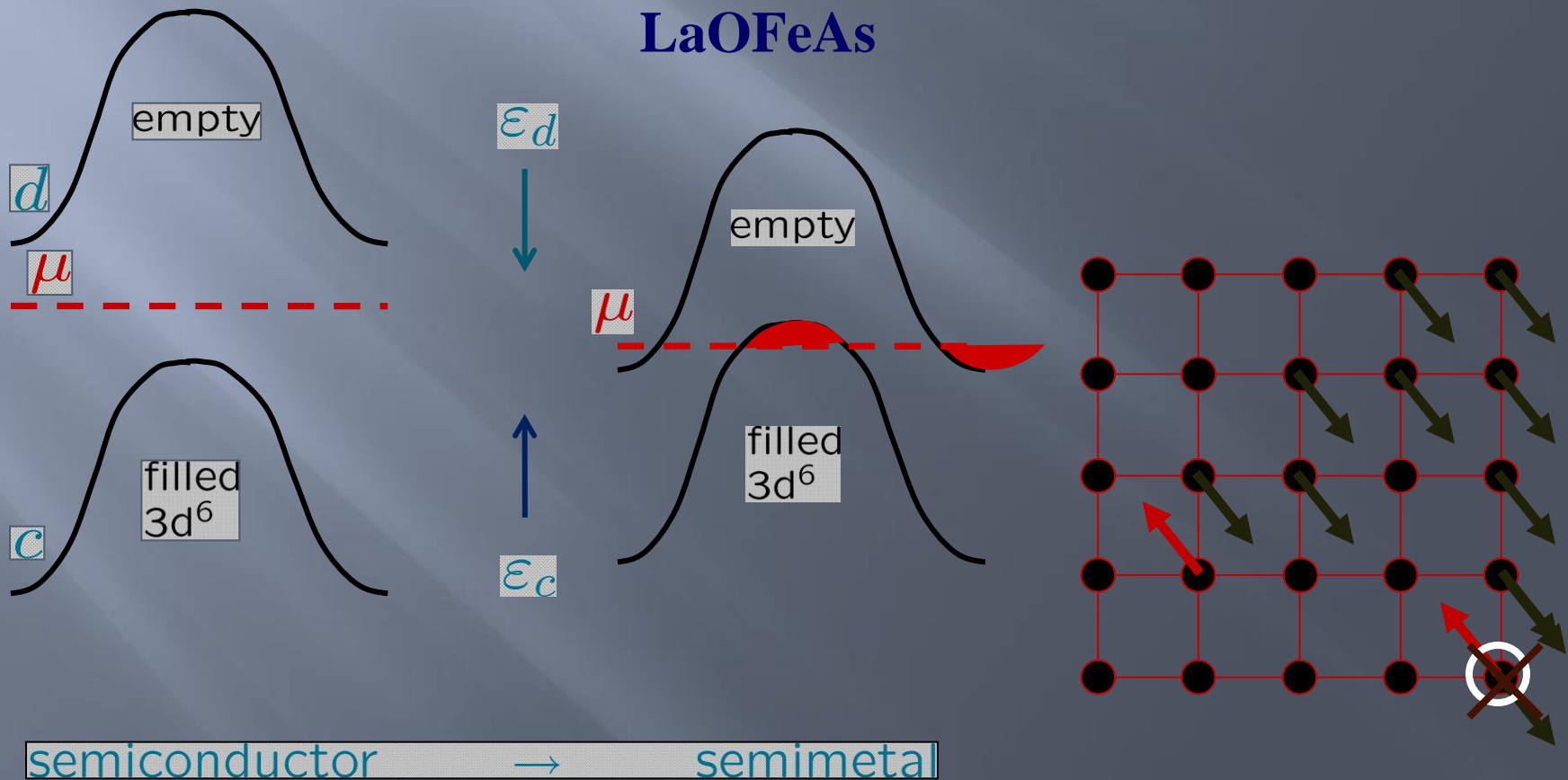


Only when doped with holes (or electrons) do cuprates turn into superconductors



Pnictides:  $\text{Fe}^{2+} \Rightarrow 3d^6$

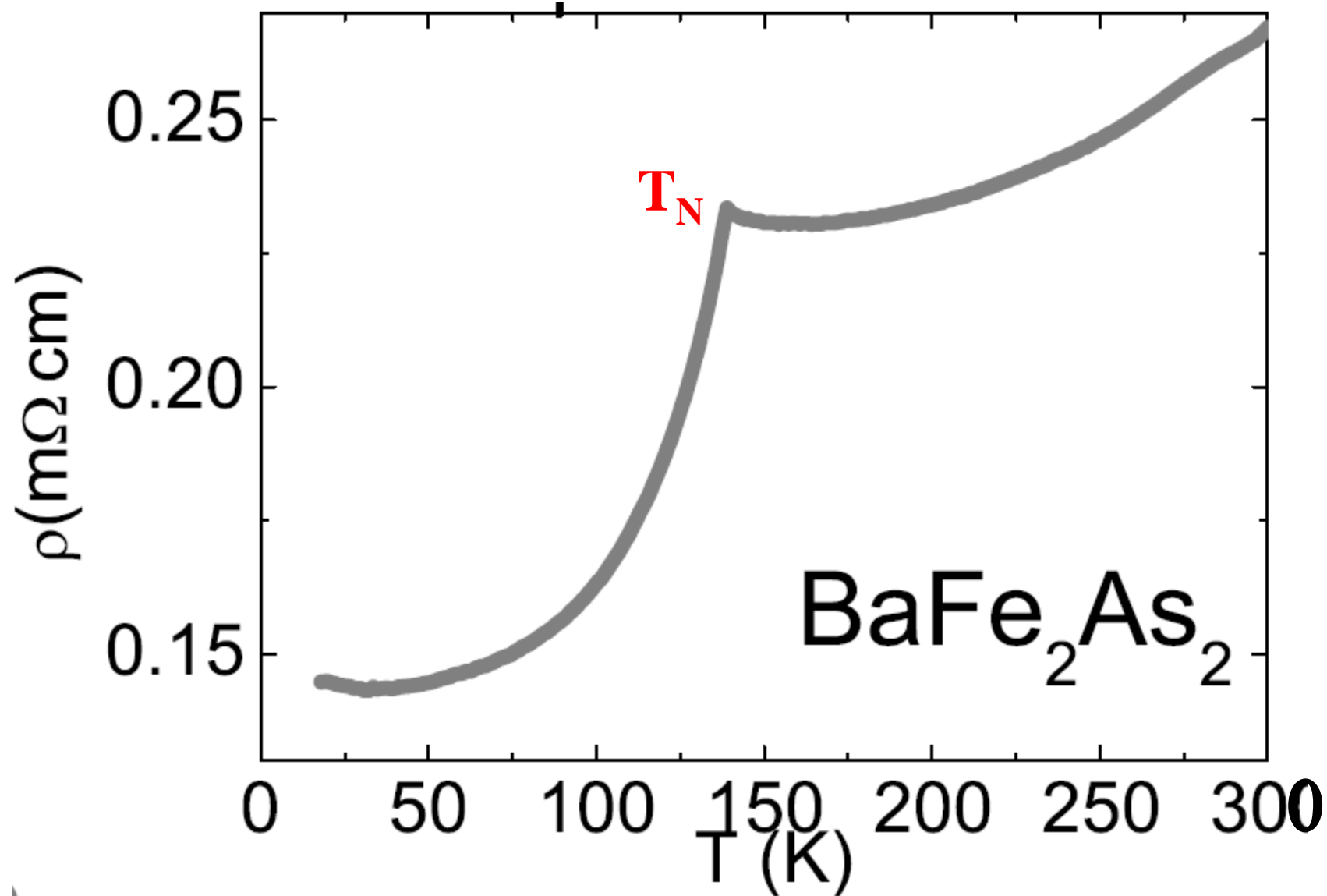
4 holes per cite – multiband structure.  
chemical potential lies in the gap



**Itinerant approach**

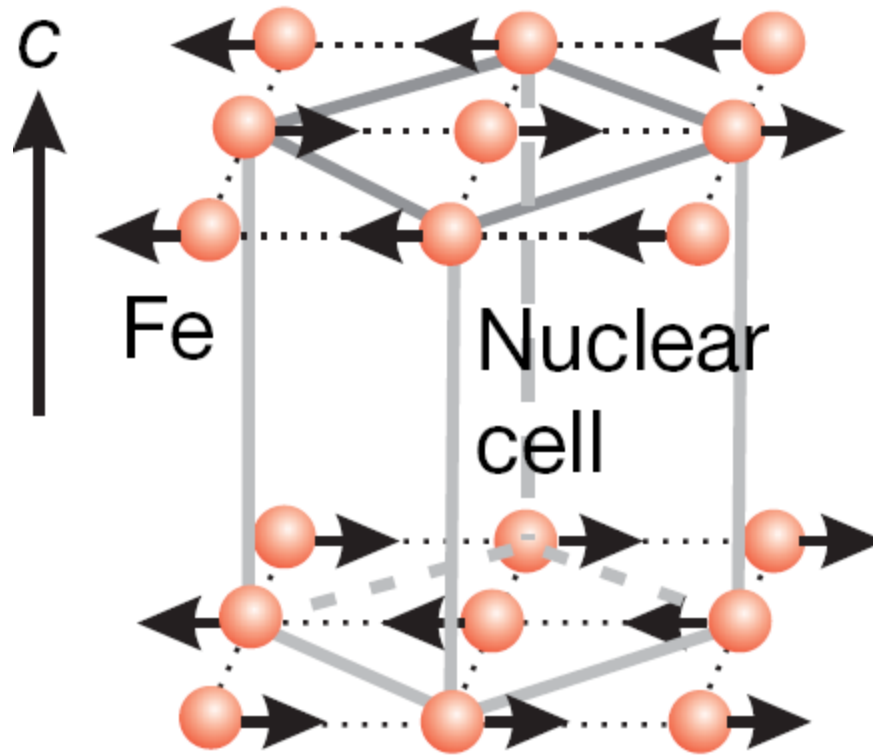
**Magnetism**

The system remains a metal the magnetic phase





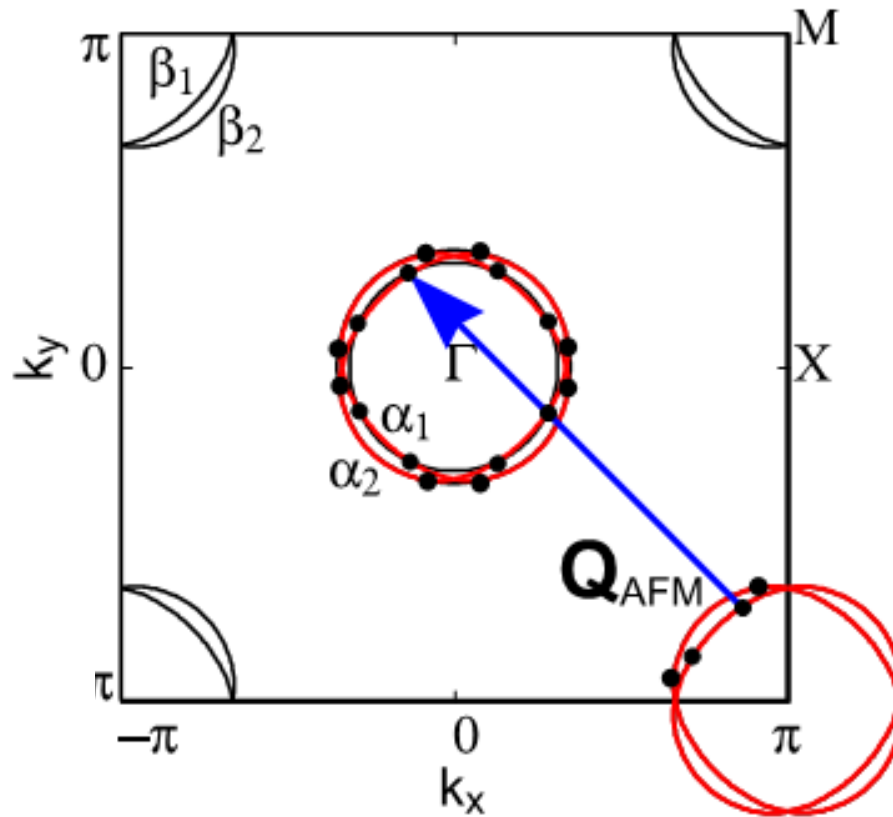
# Magnetic order



**$(\pi, 0)$  or  $(0, \pi)$   
in the unfolded BZ**

**$(\pi, \pi)$  in the  
folded BZ**

# Itinerant description: magnetism comes from nesting



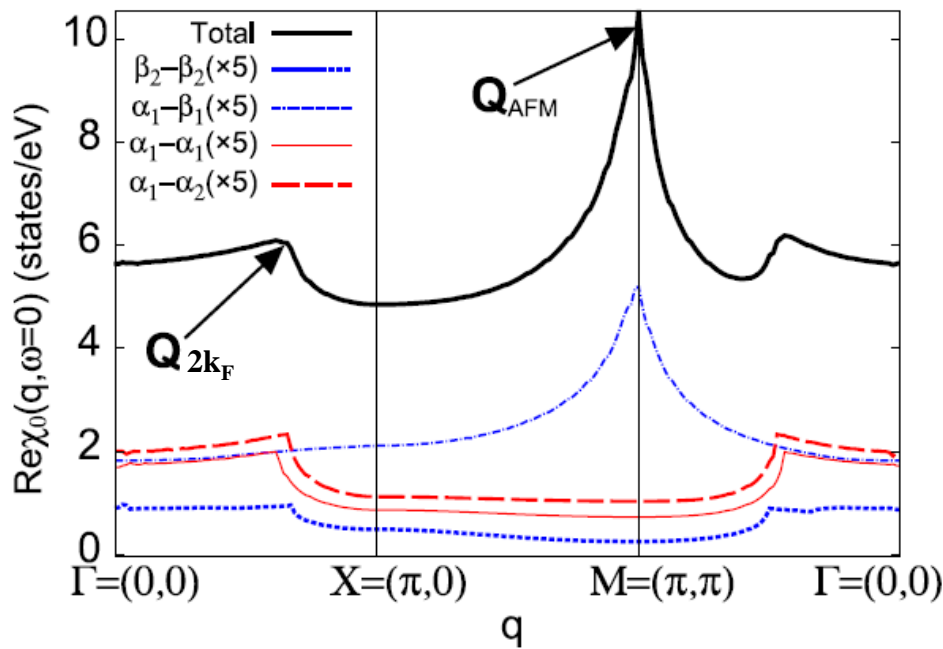
Dong et al, Korshunov & Eremin,  
Raghu et al., K. Kuroki et al, ...

# Nesting is a boost for an SDW antiferromagnetism

$$\chi_0(\mathbf{Q}) = \text{Diagram} = \iint_{\text{T}} \frac{d\omega d\varepsilon_k}{\omega^2 + \varepsilon_k^2} = \log \frac{E_F}{T} \quad (\text{ellipticity of electron FSs is not an obstacle})$$

For a perfect nesting, AFM instability occurs already at small U

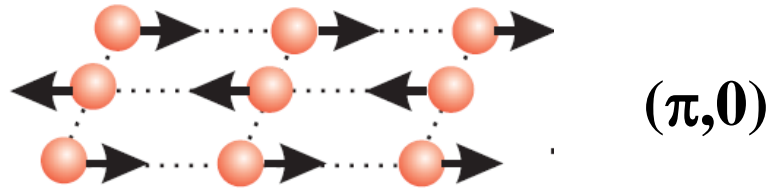
M. Rice (for Cr), V. Cvetkovic and Z. Tesanovic, ....



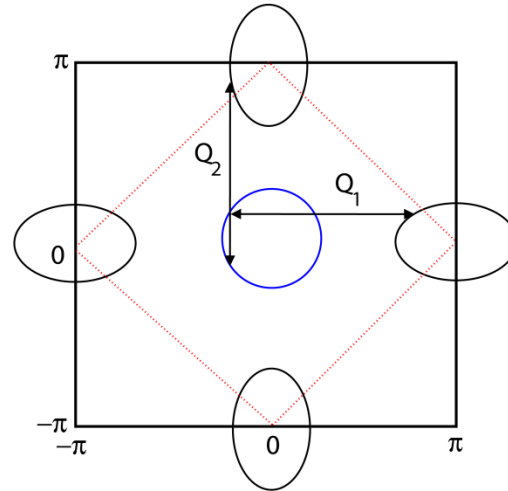
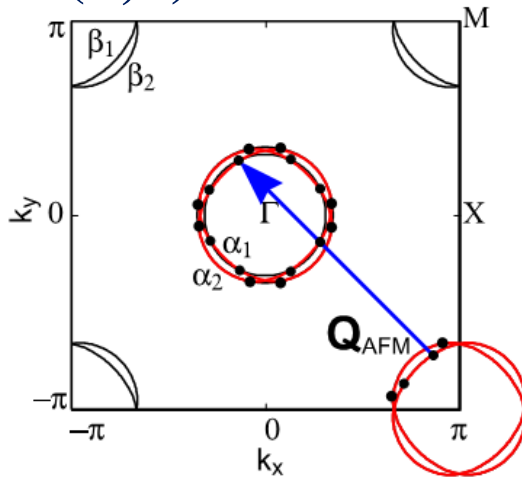
**( $\pi, \pi$ ) in the folded BZ**

# Questions

1. The actual order is



$(\pi, \pi)$  folded BZ

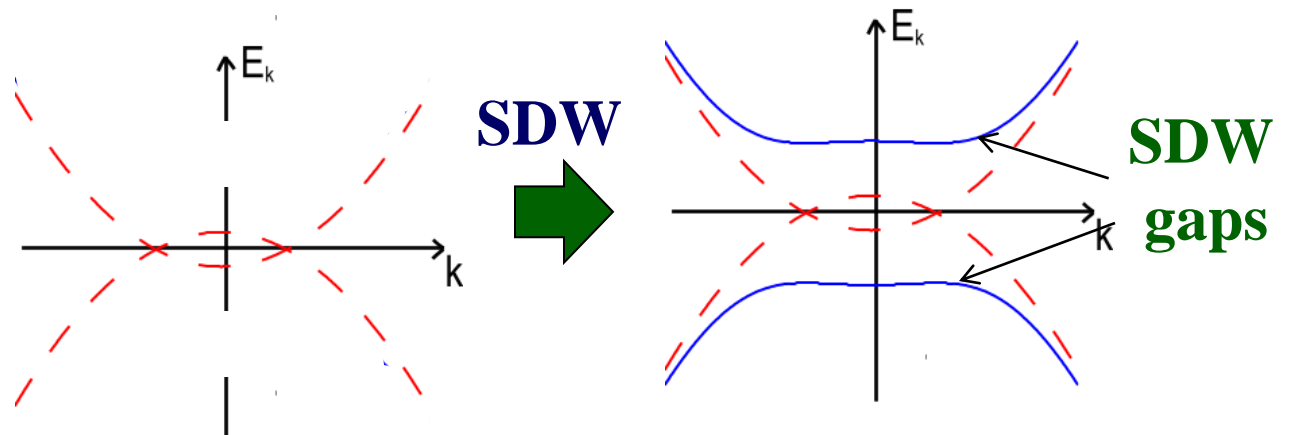
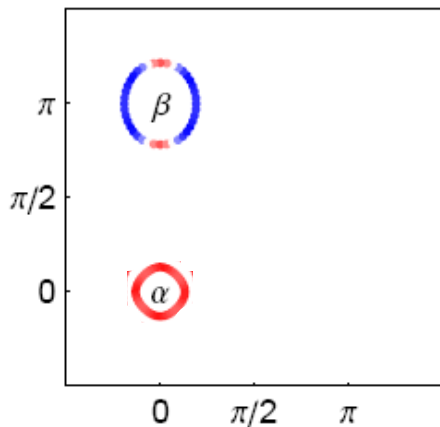


$$\mathbf{Q}_1 = (\pi, 0)$$

$$\mathbf{Q}_2 = (0, \pi)$$

$$\vec{S} = \vec{S}_1 e^{i\vec{Q}_1 \vec{r}} + \vec{S}_2 e^{i\vec{Q}_2 \vec{r}}$$

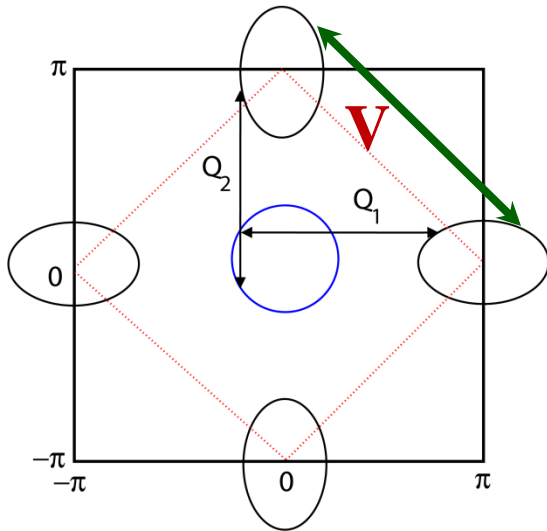
2. Why the system remains a metal?



# 1. Selection of a magnetic order

Introduce two SDW order parameters

$\vec{W}_1$  with  $Q_1 = (0, \pi)$ ,  
 $\vec{W}_2$  with  $Q_2 = (\pi, 0)$



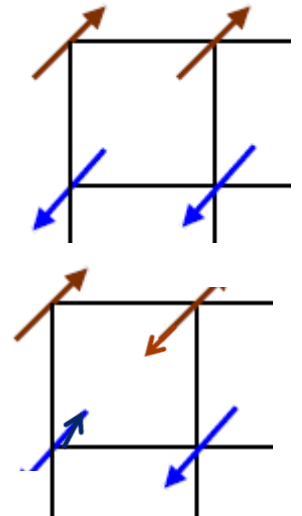
$$E_{\text{gr}} = F(\vec{W}_1^2 + \vec{W}_2^2)$$

**O(6) symmetry**

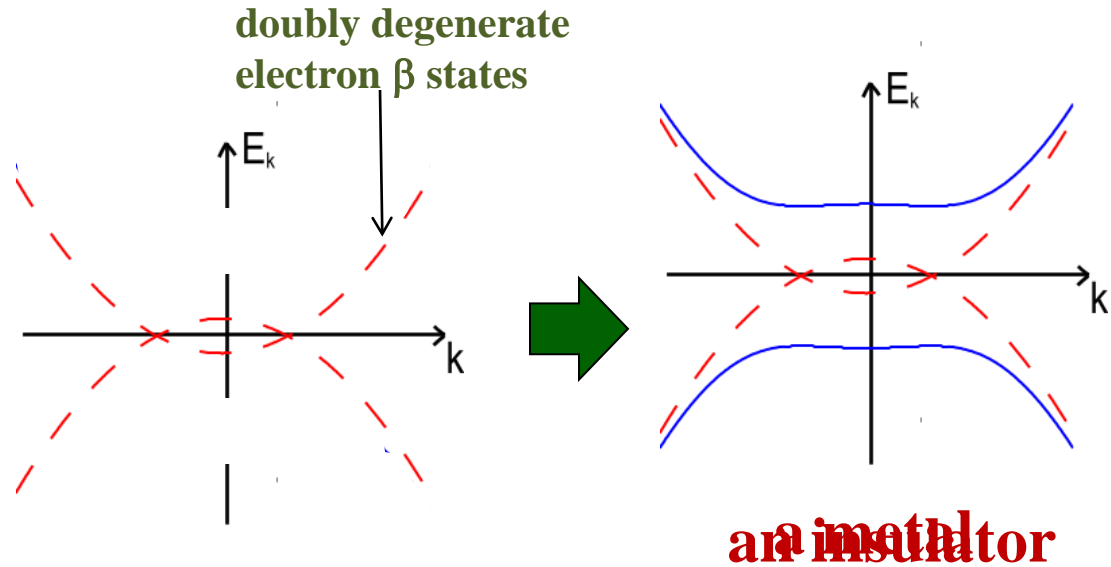
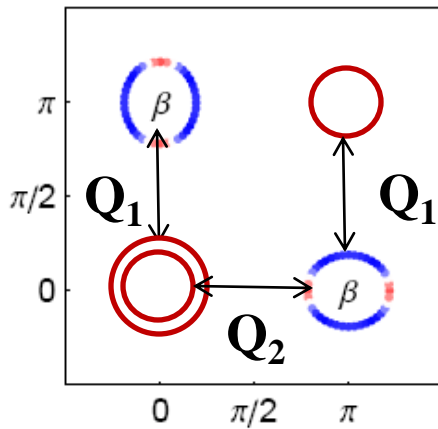
$$E_{\text{gr}} = F(\vec{W}_1^2 + \vec{W}_2^2) + a V (\vec{W}_1)^2 (\vec{W}_2)^2, \quad a > 0$$

**Either  $W_1 = 0$ ,  $(0, \pi)$  state**

**Or  $W_2 = 0$ ,  $(\pi, 0)$  state**



## 2. Metallicity

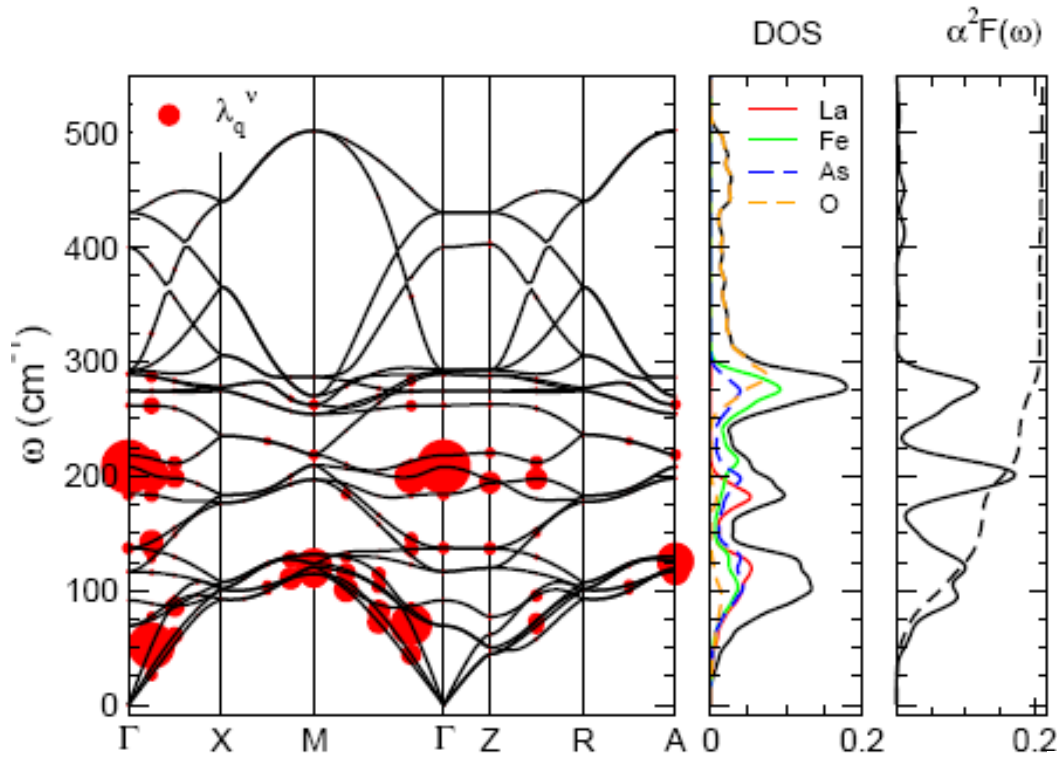


**Angular dependence of the interaction also plays an essential role (nodes, even when SDW order affects all Fermi surfaces) (Vishwanath et al, 2008)**

**Itinerant approach**

**Superconductivity**

# Electron-phonon interaction is too weak



Boeri, Dolgov, & Golubov,  
Singh & Du

$$\lambda = 0.21 \quad \lambda=0.44 \text{ for Al}$$

Too small to account  
for  $T_c = 50\text{K}$

$$\alpha^2 F(\omega) = \frac{1}{N(0)} \sum_{nm\mathbf{k}} \delta(\varepsilon_{n\mathbf{k}}) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}}) \times$$

$$\times \sum_{\nu\mathbf{q}} |g_{\nu, n\mathbf{k}, m(\mathbf{k}+\mathbf{q})}|^2 \delta(\omega - \omega_{\nu\mathbf{q}});$$

$$\lambda(\omega) = 2 \int_0^\omega d\Omega \alpha^2 F(\Omega) / \Omega$$



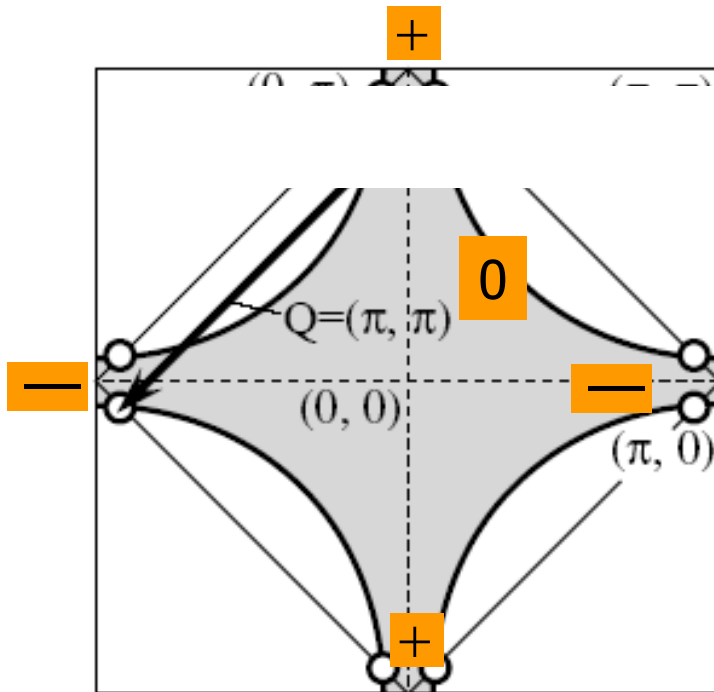
# Pairing due to el-el interaction

How about using the "analogy" with overdoped cuprates and assume that the pairing is mediated by spin fluctuations

peaked at  $(\pi, \pi)$

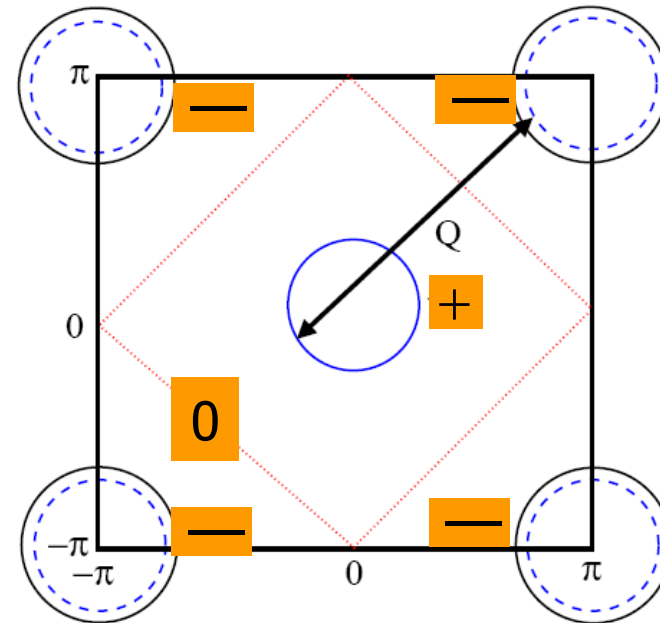
Mazin et al,  
Kuroki et al...

## Cuprates



$$\Delta(\theta) = \Delta_0 (\cos k_x - \cos k_y)$$

## Pnictides



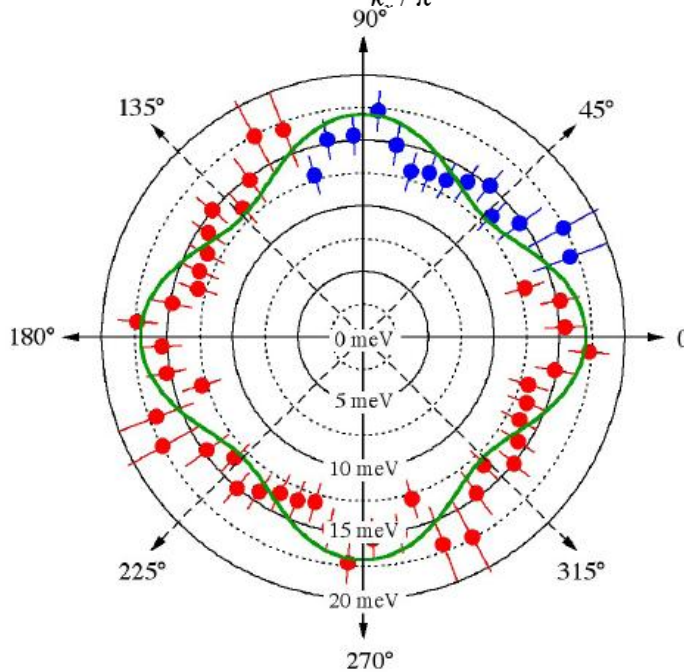
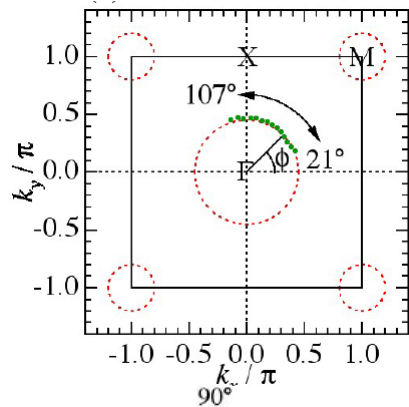
$$\Delta(\theta) = \Delta_0 (\cos k_x + \cos k_y)$$

sign-changing extended s-wave gap

# Experiments

**Some experiments  
are consistent with  
no-nodal  $s^{+-}$  gap**

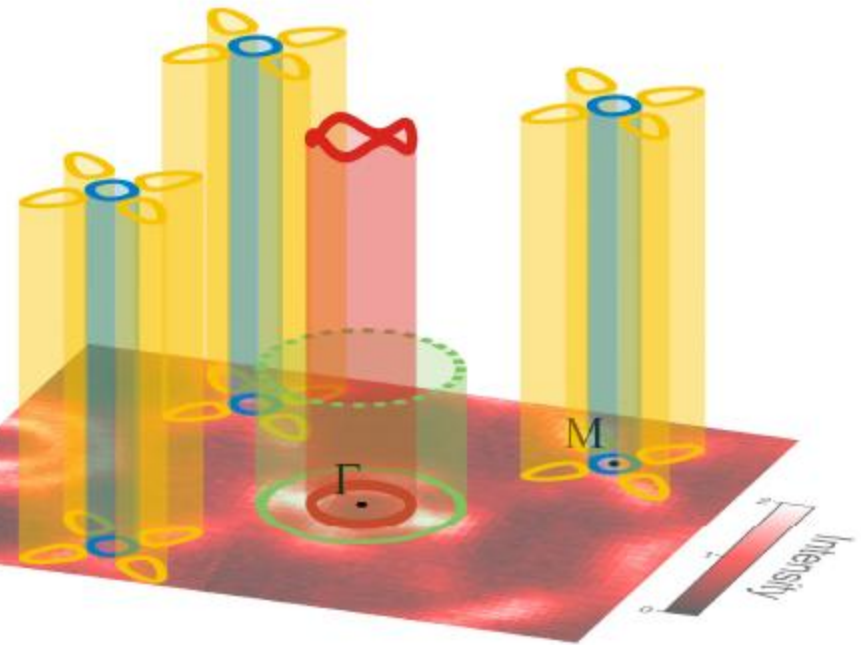
# 1. Photoemission in 1111 and 122 FeAs



$$\Delta(k_x, k_y)$$

9 meV

$k_y$   
 $k_x$

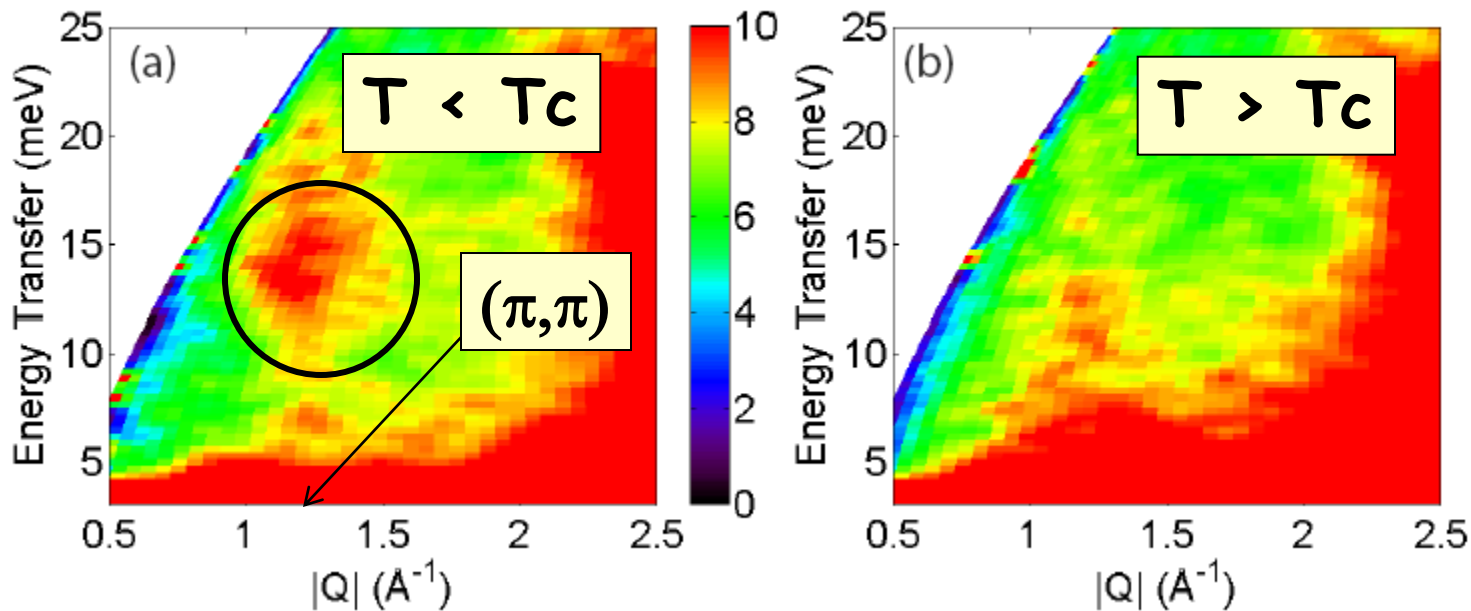


T. Kondo et al., arXiv:0807.0815

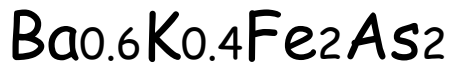
D. Evtushinsky et al

Almost angle-independent gap

## 2. Neutron scattering - resonance peak below 2D

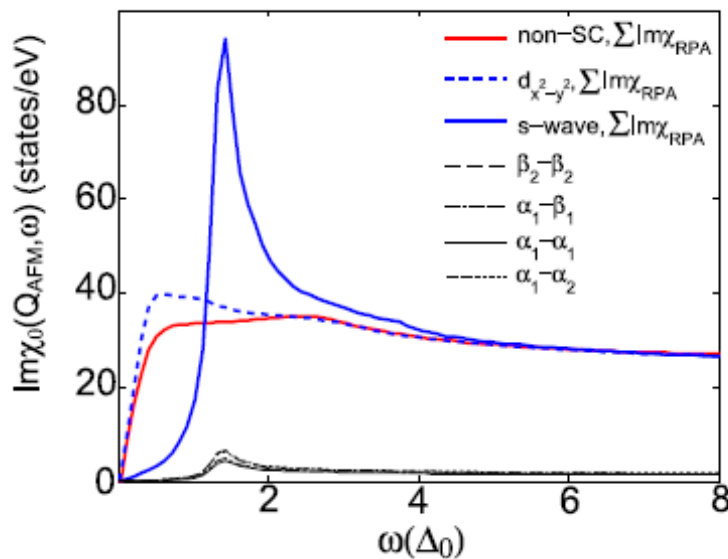


Christianson  
etal.



Theory : need  $\Delta_{\mathbf{k}+\pi} = -\Delta_{\mathbf{k}}$

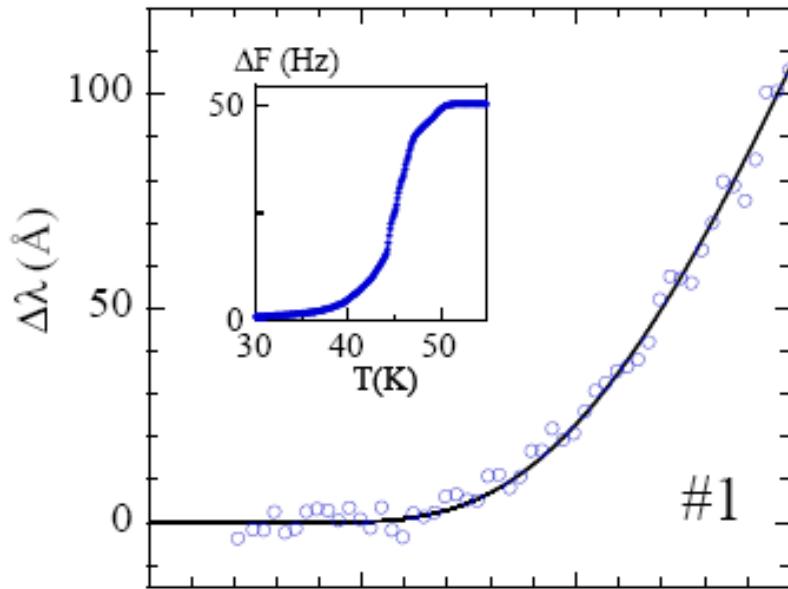
The “plus-minus” gap  
is the best candidate



Eremin &  
Korshunov  
Scalapino &  
Maier

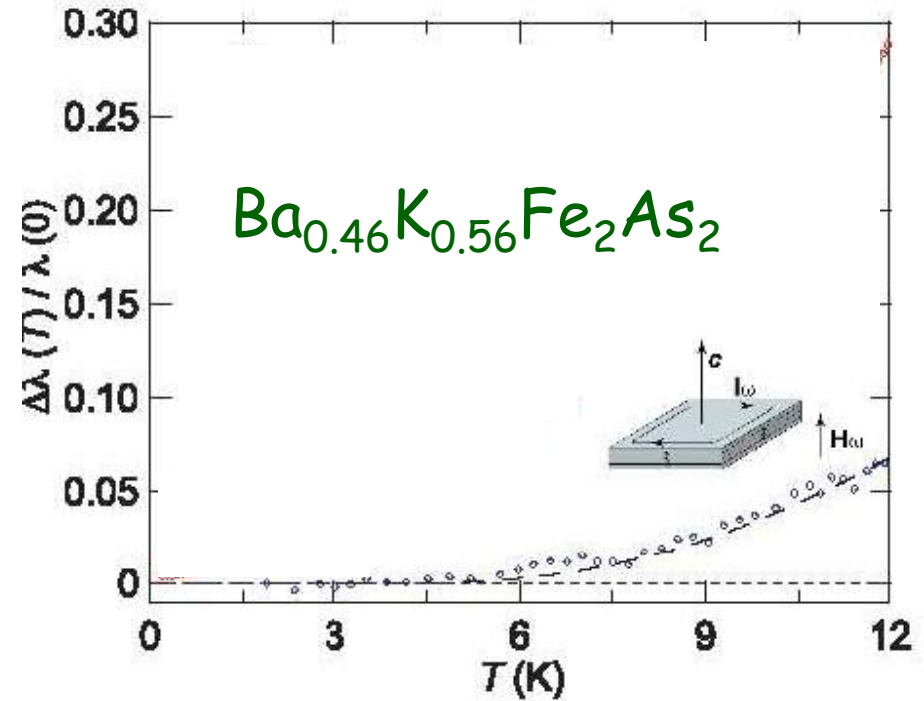
### 3. Penetration depth behavior in 1111 and 122 FeAs

SmOFeAs



Carrington et al

Ba<sub>1-x</sub>K<sub>x</sub>Fe<sub>2</sub>As<sub>2</sub>



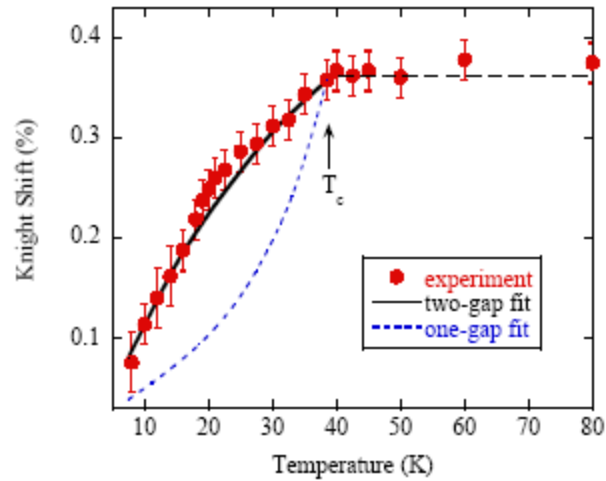
Y. Matsuda

**“Exponential” behavior at low T  
(or, at least, a very flat behavior)**

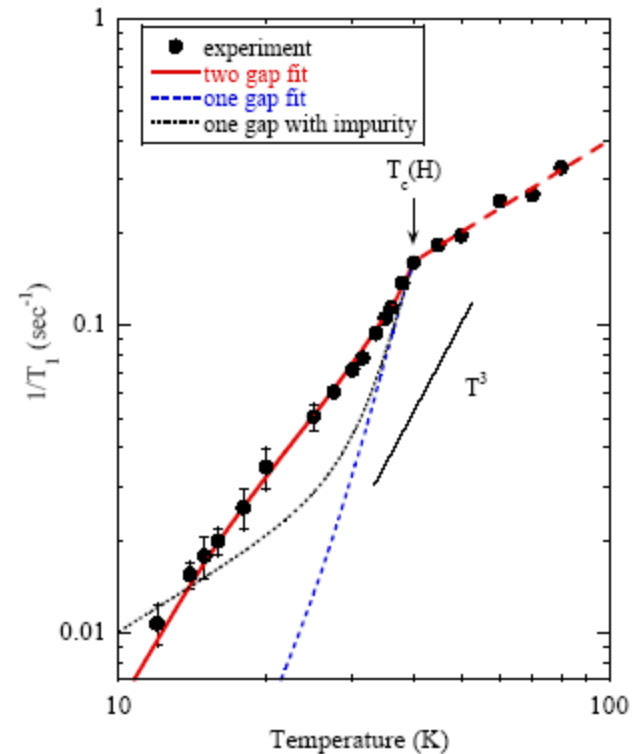
**Other experiments,  
however, indicate that  
the gap may have nodes**

# 1. NMR and Knight shift in 1111 FeAs

## Knight shift



## NMR relaxation rate



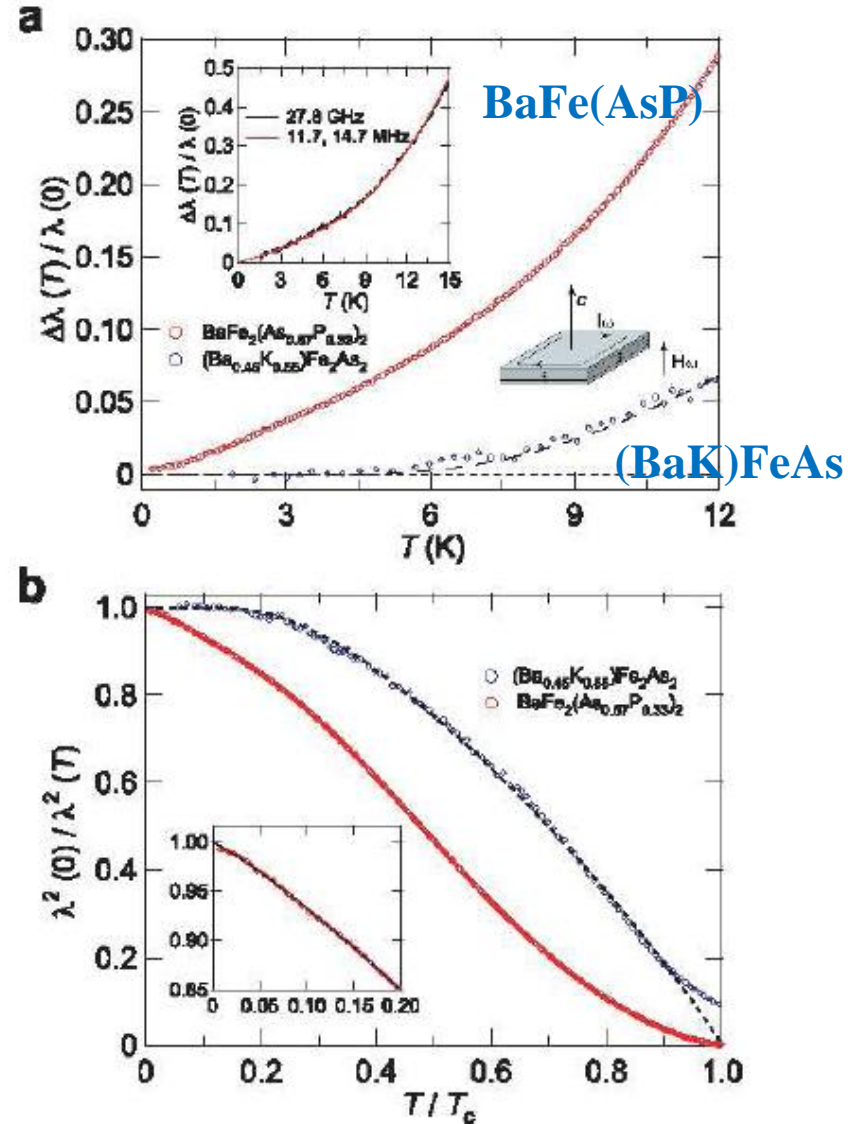
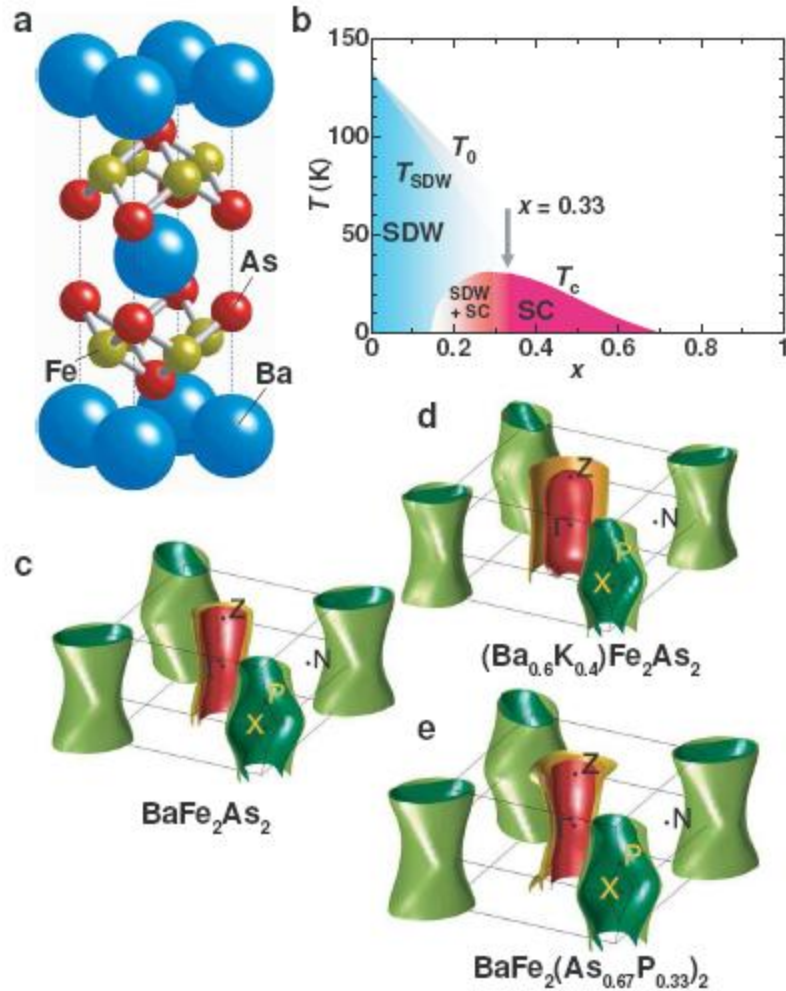
Matano et al

**Non-exponential behavior!**



## 2. The behavior of $\text{BaFe}_2(\text{As}_{1-x}\text{P}_x)_2$ , $T_c = 30\text{K}$

Y. Matsuda et al

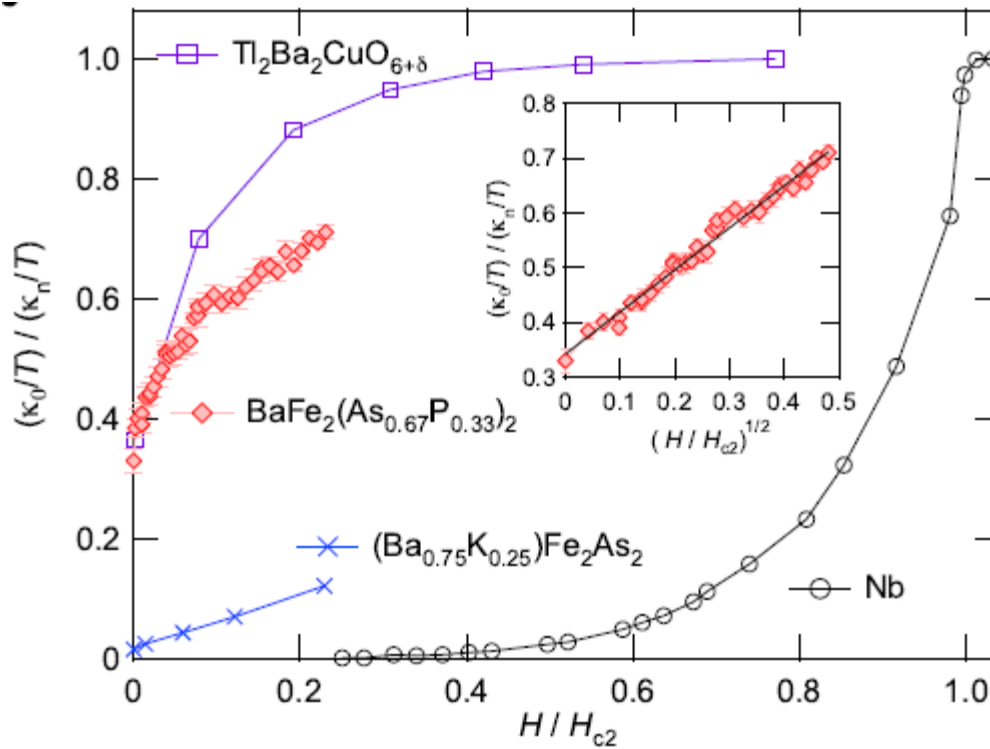


Nodes in  $\text{BaFe}_2(\text{As}_{1-x}\text{P}_x)_2$ ,

## 2. The behavior of $\text{BaFe}_2(\text{As}_{1-x}\text{P}_x)_2$ , $T_c = 30\text{K}$

Y. Matsuda et al

### Thermal conductivity



**Do nodes in the gap imply non-s-wave?**



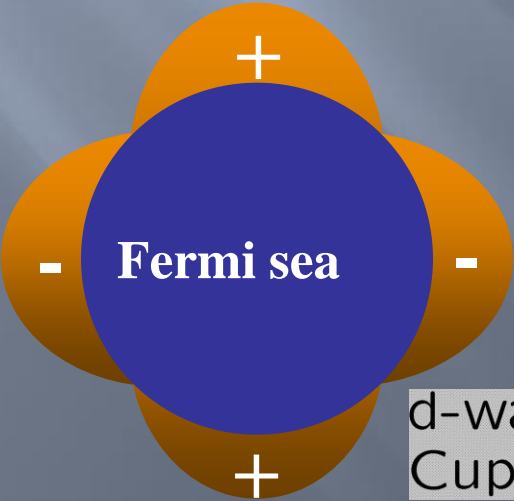
Superconducting gap  $\Delta$

**One Fermi surface  
(open or close)**



s-wave (isotropic)  
Conventional SC (Nb, Pb, Al, ...)  
 $T_c < 25K$

p-wave  
Superfluid  $^3He$ , SrRu (?)  
 $T_c \sim 1mK - 1K$



may have nodes

no nodes

d-wave  
Cuprate SC  $T_c \sim 160K$

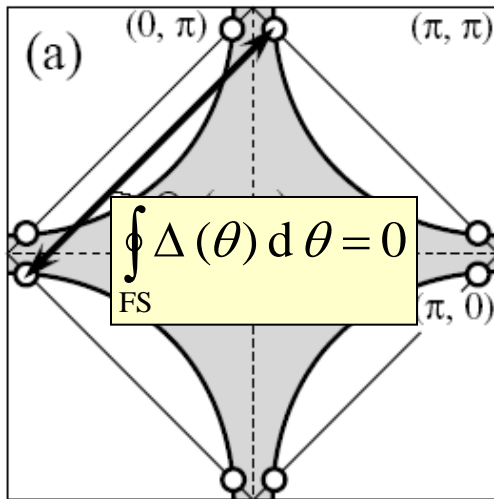


**still s-wave**

## Back to simple reasoning

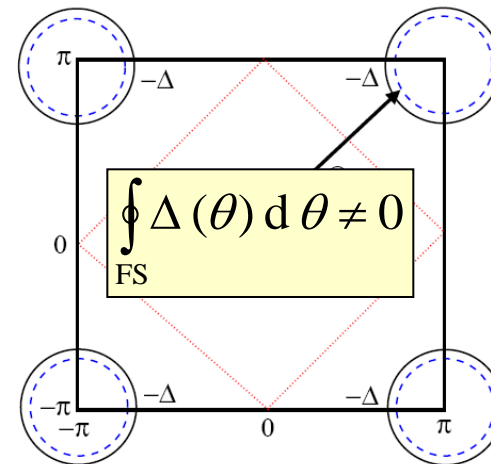
There is a problem: how to get rid of an intra-band Hubbard repulsion ?

### Cuprates

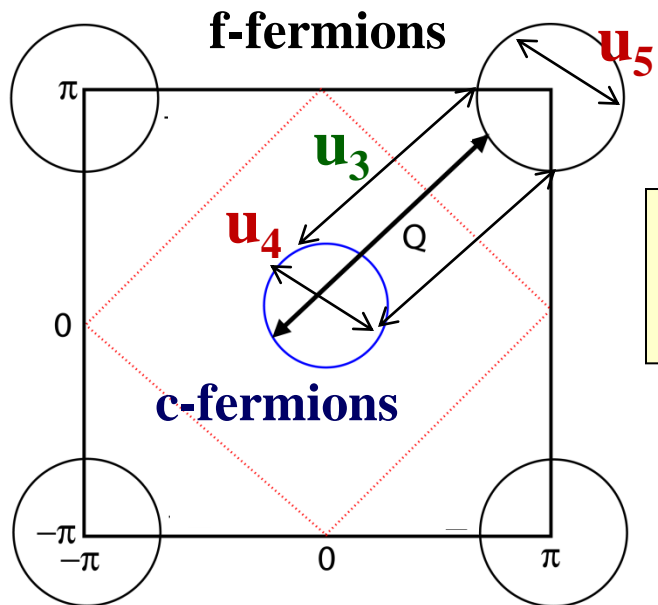


Hubbard repulsion cancels out, only d-wave,  $(\pi, \pi)$  interaction matters

### Pnictides



Intra-band repulsion does not cancel and has to be overtaken by a  $(\pi, \pi)$  interaction



## Theory

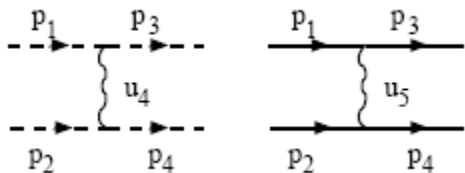
The two-band nested Fermi liquid with intra-band and inter-band interactions

$$\epsilon_p^c = E_F - \frac{p^2}{2m}, \quad \epsilon_{p+Q}^f = \frac{(p+Q)^2}{2m} - E_F$$

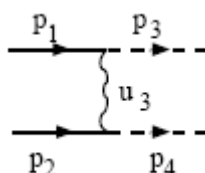
$$H = U_1^{(0)} \sum c_{\mathbf{p}_3\sigma}^\dagger f_{\mathbf{p}_4\sigma'}^\dagger f_{\mathbf{p}_2\sigma'} c_{\mathbf{p}_1\sigma} + U_2^{(0)} \sum f_{\mathbf{p}_3\sigma}^\dagger c_{\mathbf{p}_4\sigma'}^\dagger f_{\mathbf{p}_2\sigma'} c_{\mathbf{p}_1\sigma} + \frac{U_3^{(0)}}{2} \sum [f_{\mathbf{p}_3\sigma}^\dagger f_{\mathbf{p}_4\sigma'}^\dagger c_{\mathbf{p}_2\sigma'} c_{\mathbf{p}_1\sigma} + h.c.] + \frac{U_4^{(0)}}{2} \sum f_{\mathbf{p}_3\sigma}^\dagger f_{\mathbf{p}_4\sigma'}^\dagger f_{\mathbf{p}_2\sigma'} f_{\mathbf{p}_1\sigma} + \frac{U_5^{(0)}}{2} \sum c_{\mathbf{p}_3\sigma}^\dagger c_{\mathbf{p}_4\sigma'}^\dagger c_{\mathbf{p}_2\sigma'} c_{\mathbf{p}_1\sigma}$$

$$u_i^{(0)} = U_i^{(0)} N_0$$

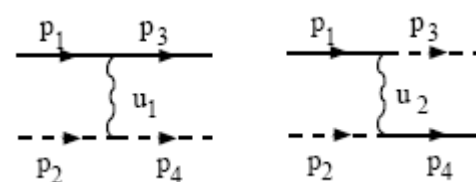
$$N_0 = m/(2\pi) = \text{density of states in 2D}$$



**Intra-band repulsion**  
 $u_4 = u_5$



**Pair hopping**  
**( $\pi, \pi$ ) interaction**



**Inter-band forward**  
**and “back-scattering”**

# Let's see how pair hopping and intra-band repulsion compete

## 1. Spin density wave

$$\chi^{\text{SDW}} = \frac{(\chi^{\text{SDW}})_0}{1 - (\Gamma^{\text{SDW}})_0 \Pi_{\text{sdw}}}$$

$$\Pi_{\text{sdw}} \propto \log \frac{E_F}{T}$$

nesting

$$\Gamma_0^{\text{SDW}} = u_3 + u_1 > 0,$$

The system surely favors an SDW instability

## 2. S+ superconductivity

$$\chi_{s+}^{\text{SC}} = \frac{(\chi_{s+}^{\text{SC}})_0}{1 - (\Gamma_{s+}^{\text{SC}})_0 \Pi_{\text{sc}}}$$

$$\Pi_{\text{sc}} \propto \log \frac{E_F}{T}$$

$$(\Gamma_{s+}^{\text{SC}})_0 = u_3 - u_4,$$

If intra-band repulsion ( $u_4$ ) is stronger than the pair hopping ( $u_3$ ), the pairing interaction is repulsive

Orbital model  $\rightarrow$  band model:  $u_4 > u_3$

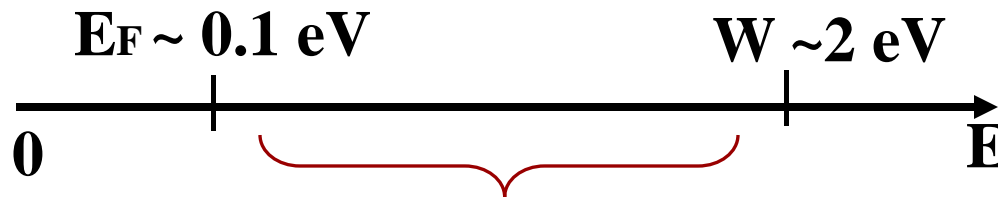
SDW magnetism, but no superconductivity (repulsion wins!)

## Explore nesting AND the smallness of the pockets

Chubukov et al, Wang et al, Honerkamp et al, Tesanovic et al

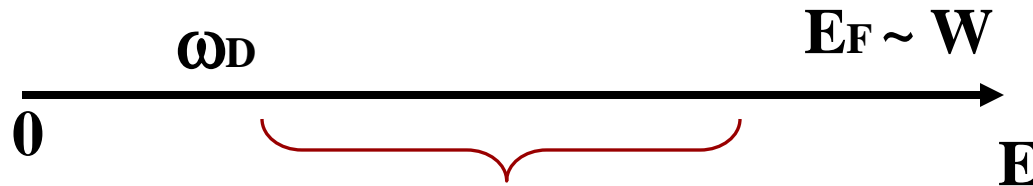
The terms in the Hamiltonian are bare interactions, at energies comparable to a fermionic bandwidth

We, however, need interactions at energies smaller than the Fermi energy [we have  $\log E_F/T$ ]



Couplings flow due to renormalizations by particle-particle and particle-hole bubbles

We know this story for conventional (phonon) superconductors



Coulomb repulsion is renormalized down by the renormalization in the particle-particle channel (McMillan-Tolmachev renormalization)

If only Cooper channel is involved, this cannot change a repulsion into an attraction

$$u_4 - u_3 = \frac{(u_4 - u_3)_0}{1 + (u_4 - u_3)_0 \log W/E}$$

In our case, there are renormalizations in both particle-particle AND particle hole channel. This implies that we need to construct parquet RG to analyze the system flow between  $W$  and  $E_F$

(H. Shultz, Dzyaloshinskii & Yakovenko)



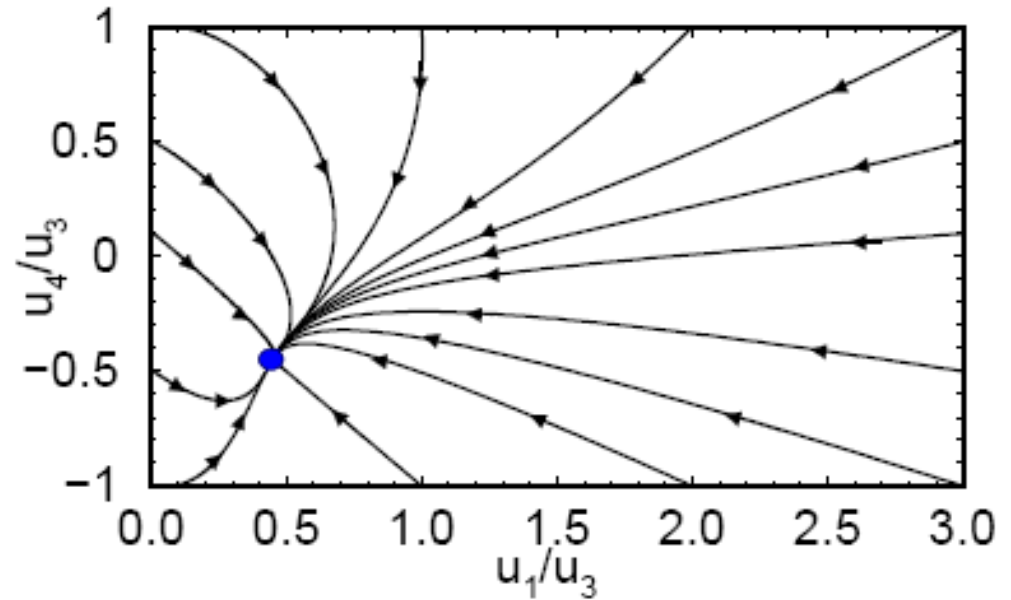
# One-loop parquet RG

$$\dot{u}_1 = u_1^2 + u_3^2$$

$$\dot{u}_2 = 2u_2(u_1 - u_2)$$

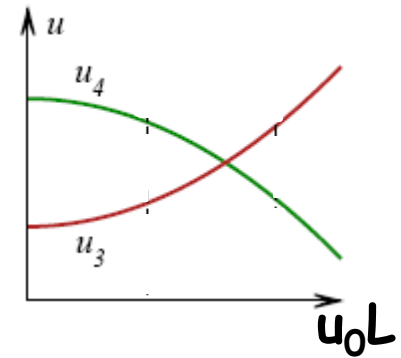
$$\dot{u}_3 = 2u_3(2u_1 - u_2 - u_4)$$

$$\dot{u}_4 = -u_3^2 - u_4^2$$

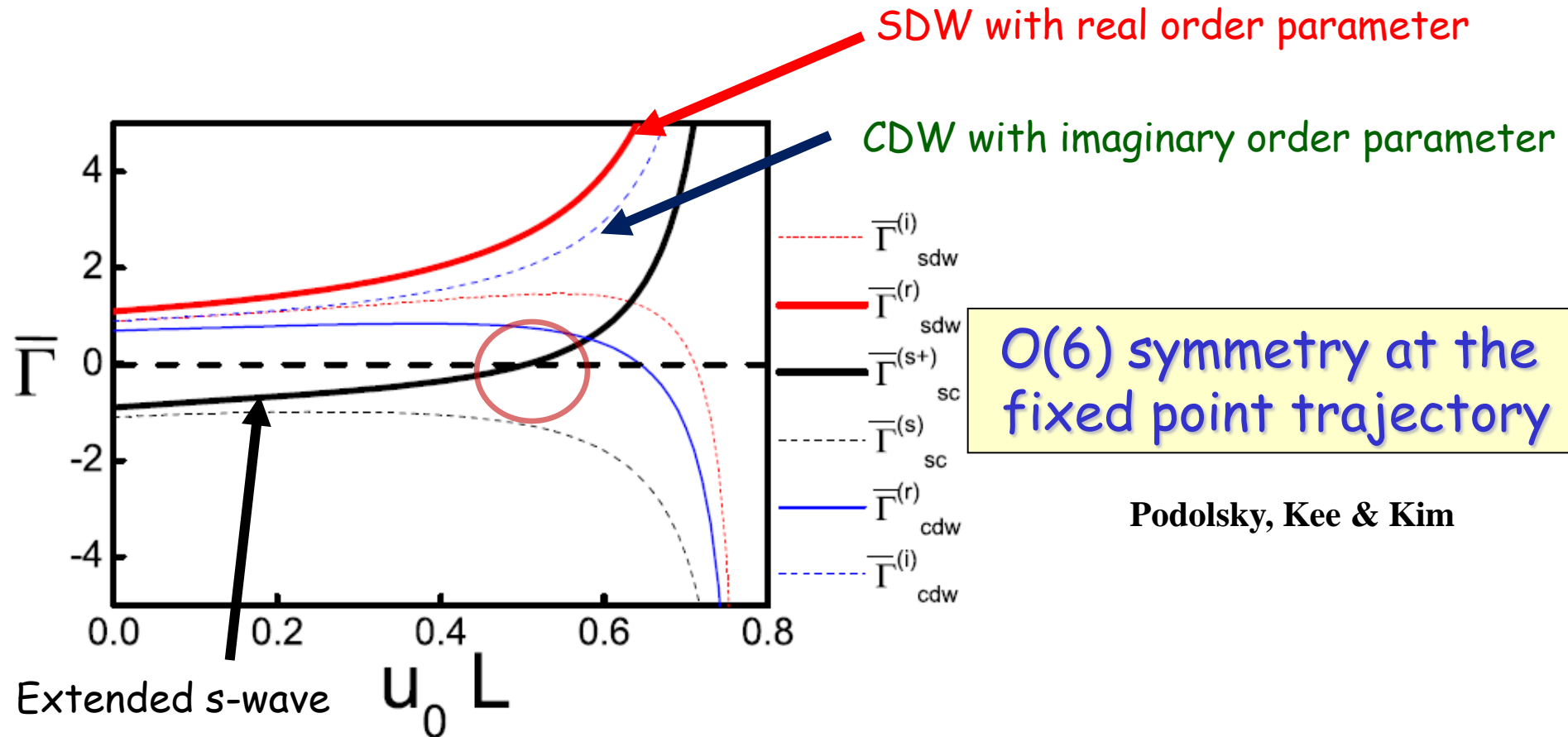


The fixed point: the pair hopping term  $u_3$  is the largest

$$u_1 = -u_4 = -u_5 = \frac{|u_3|}{\sqrt{5}}, \quad u_2 \propto |u_3|^{1/3}$$

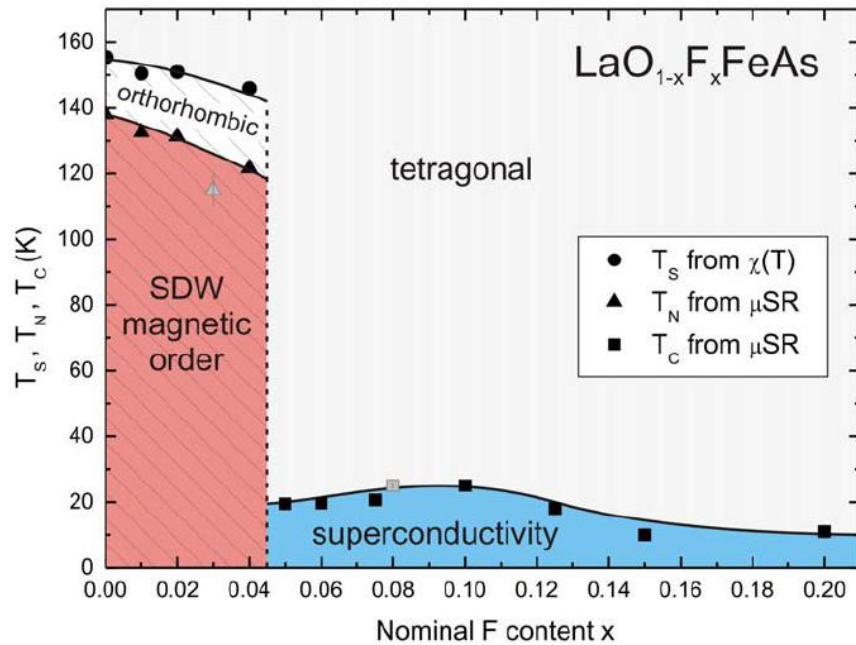


# One-loop RG Flow

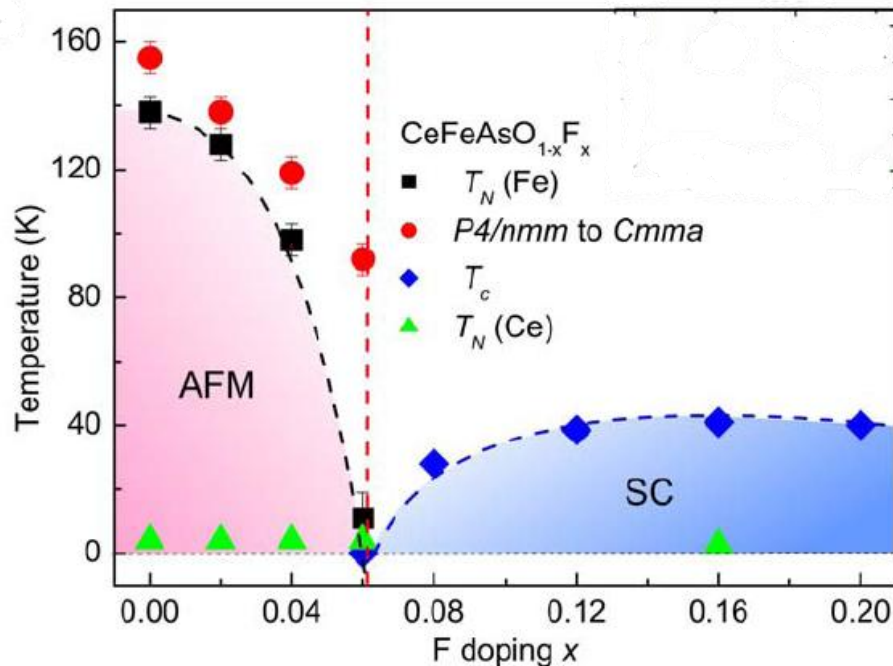


In the process of RG flow, the interaction in the extended s-wave channel becomes attractive

**Numerical RG** : F. Wang, H. Zhai, Y. Ran, A. Vishwanath, and D.-H. Lee  
C. Platt, C. Honerkamp, and W. Hanke



**Perfect nesting –  
SDW wins**



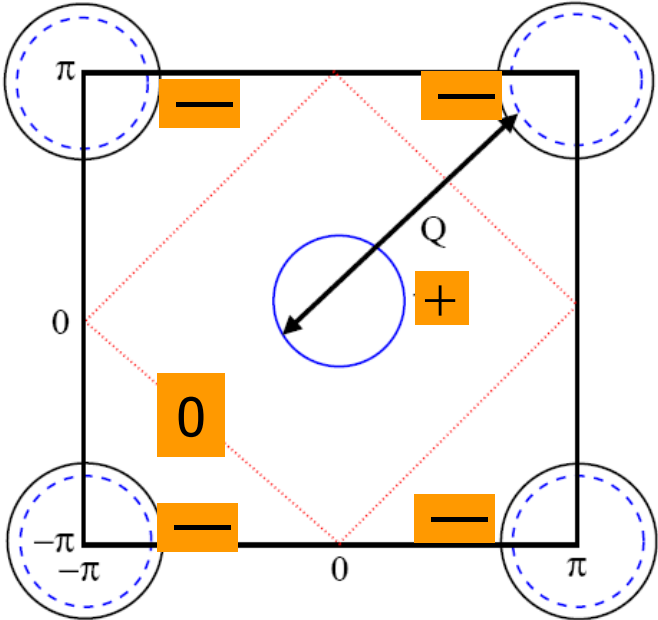
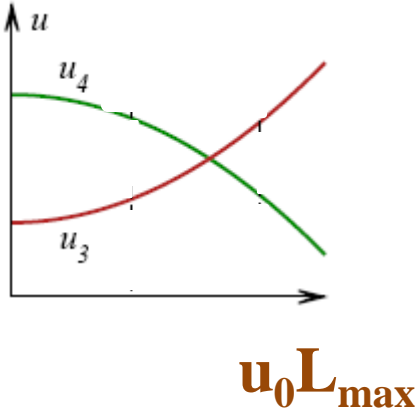
**Non-perfect nesting –SDW  
vertex remains the strongest,  
but the SDW instability is  
cut, and a node-less s+ SC wins**

**However,**

Parquet RG stops at  $E \sim E_F$

$$u_0 L_{\max} = u_0 \log W/E_F$$

**A sign-changing,  
nodeless s+ gap  
does not emerge**



**No s+ pairing?**

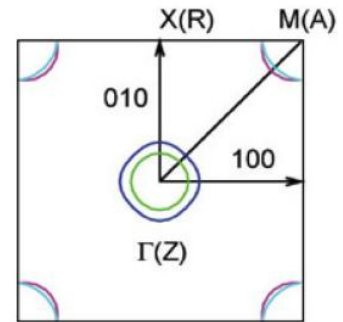
$$\Delta(\theta) = \Delta_0 (\cos k_x + \cos k_y)$$

## Let's include momentum-dependent part of the pair hopping

The idea: if the gap averages to zero along either hole or electron FSs, or both, the effect of intra-pocket repulsion will be eliminated, at least partly

$$u_3(q - q') c_q^\dagger c_{-q}^\dagger f_{q'} f_{-q'}$$

$$u_3(p) = u_3 + 2\tilde{u}_3 \cos \frac{p_x}{2} \cos \frac{p_y}{2} + \tilde{\tilde{u}}_3 (\cos p_x + \cos p_y) + \dots$$



## The expansion in the size of a Fermi pocket

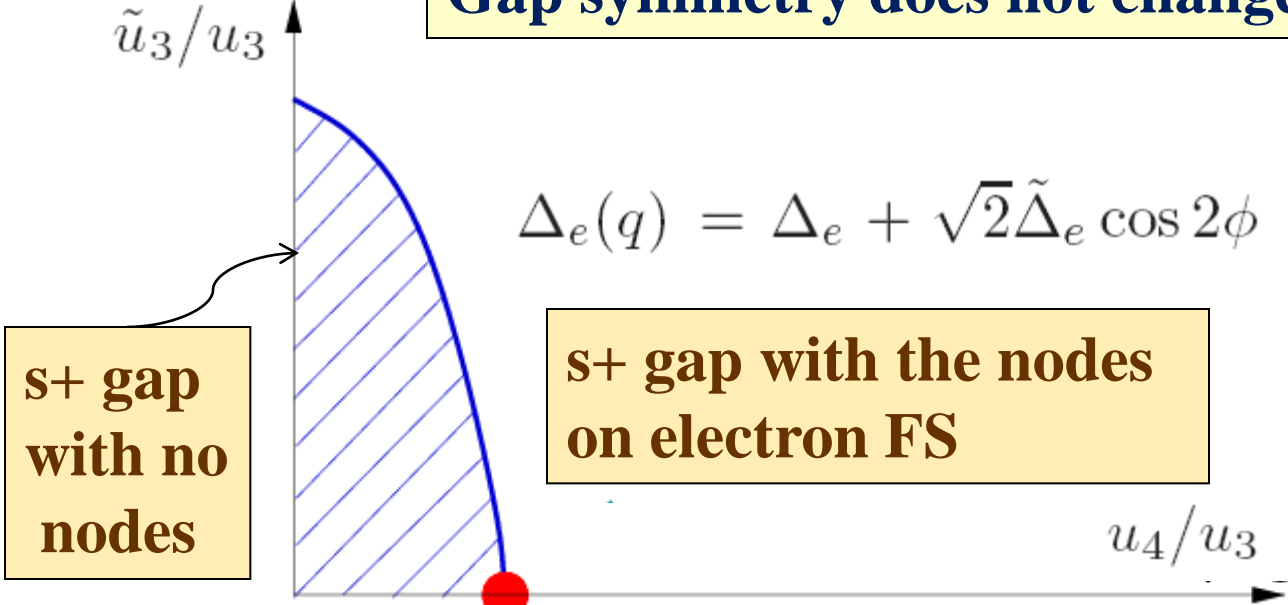
$$\Delta(q) = \underbrace{\Delta_0 (\cos q_x + \cos q_y)}_{\text{a plus-minus gap}} + \underbrace{\Delta_1 \cos \frac{q_x}{2} \cos \frac{q_y}{2}}_{\text{an s-wave gap with nodes on electron FSs}} + \underbrace{\Delta_2 (\cos q_x - \cos q_y)}_{\text{a d-wave gap with nodes on all FSs}} + \dots$$

a plus-minus gap

an s-wave gap with nodes on electron FSs

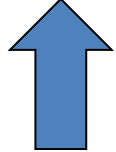
a d-wave gap with nodes on all FSs

**Gap symmetry does not change!**

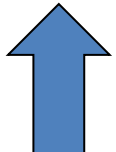


**1**

**Less SDW fluctuations**



**Underdoped 1111, 122 FeAs**



**LaFeOP, BaFe<sub>2</sub>(As<sub>1-x</sub>P<sub>x</sub>)<sub>2</sub>  
Overdoped 1111, 122 FeAs**

## Conclusions:

Fe-pnictides are itinerant systems,  
no evidence for Mott physics

Magnetism is of SDW type, the system remains a metal

Superconductivity is the result of the interplay  
between intra-pocket repulsion and the pair hopping.

If the tendency towards SDW is strong, pair  
hopping increases in the RG flow, and the system  
develops an  $s^{+-}$  gap without nodes, once  
SDW order is eliminated by doping.

If the tendency towards SDW is weaker, intra-pocket  
repulsion remains the strongest. The system still becomes  
an  $s^{+-}$  superconductor, but the gap has nodes  
along the two electron Fermi surfaces.

THANK YOU